

UNIVERSITY OF ILLINOIS SYSTEM

URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

Office of Business Services
301 Coble Hall, MC-335
801 South Wright Street
Champaign, Illinois 61820

University of Illinois FY23 Federal Compliance Audit Corrective Action Plans

Finding 2023-001 Inadequate Controls over Revenue and Expense Accruals for Grant Subawards

Plan: Already implemented. The University implemented the accounting policy changes for external financial reporting effective for FY2023.

Expected Implementation Date: January 2024.

Contact:

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Finding 2023-002 Weaknesses in Controls over Electronic Health Record System

Plan: The University is working to improve the employee separation notification processes for timelier removal of system access. Additionally, the annual user access review for the EPIC system was completed in January 2024.

Expected Implementation Date: June 2025, for employee separation notification processes.

Contact:

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Finding 2023-003 Inadequate Controls over Classification of Restricted Net Assets

Plan: Enhance internal review processes for manual financial statement journal entries.

Expected Implementation Date: January 2024

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Finding 2023-004 Reporting

Plan:

The University of Illinois Chicago will send reminders communicating the importance of timely programmatic reports.

The University of Illinois Urbana Champaign will train an additional staff member to prepare the quarterly reports and will be activated as needed. This will allow greater flexibility and increased capacity for achieving timely quarterly reporting. Outlook calendar reminders will be added to both the PI and backup staff member's calendars to help ensure future quarterly reports are prepared and submitted by the sponsor deadline.

The University of Illinois Springfield will review internal processes used to identify and document financial reporting requirements, and conduct refresher training, as appropriate.

Expected Implementation Date:

UIC – March 2024
UIUC - January 1, 2024
UIS – April 2024

Contact:

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Finding 2023-005 Federal Funding Accountability and Transparency Act Reporting

Plan:

The University of Illinois Chicago will implement an additional layer of review following subaward execution to detect any data entry errors in the University's proposal management system.

Expected Implementation Date: March 2024

Contact:

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Finding 2023-006 Cash Management – Timeliness of Subrecipient Payments

Plan:

The University of Illinois Chicago will send reminders to research administrators communicating the importance of timely payments to subrecipients.

This University of Illinois Urbana-Champaign's administering unit established an email alert to notify individuals when the central sponsored program office sends a subrecipient invoice. Also, an automated process creates a checklist for processing. Additionally, the Sponsored Programs Office will implement internal measures, including the development and implementation of a subaward invoice automation platform, to address inefficiencies related to the current multi-department review, approval, and payment process.

Expected Implementation Date:

UIC – March 2024

UIUC – June 2025

Contact:

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Finding 2023-007 Errors in Reporting for NSLDS

Plan: An update to the university's student information system fixed the error which stemmed from a production defect.

Expected Implementation Date: June 4, 2023

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Finding 2023-008 Error in Return of Title IV Funds Calculation

Plan: Registrar Office has implemented regular report generation to identify any future scenarios where the effective date used on a student withdrawal is not correctly entered on the SFAWDRL form for Student Financial Aid Office's use.

Expected Implementation Date: October 19, 2023

Contact:

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Finding 2023-009 Lack of Subrecipient Monitoring

Plan: The University of Illinois Springfield will review procedures to ensure subrecipient monitoring is conducted and documented for all subawards.

Expected Implementation Date: April 2024

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