

State of Illinois
University of Illinois

Compliance Audit

(In Accordance with the Single Audit Act and OMB Circular A-133)

Year Ended June 30, 2002

Performed as Special Assistant Auditors for the Auditor General, State of Illinois

State of Illinois
University of Illinois
Compliance Audit
Year Ended June 30, 2002

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Supplemental Financial Information Report for the Year Ended June 30, 2002, which is Incorporated Herein by Reference	

**State of Illinois
University of Illinois
University Officials
Year Ended June 30, 2002**

James J. Stukel	President
Chester S. Gardner	Vice President for Academic Affairs
Stephen K. Rugg	Vice President for Administration, effective May 1, 2002
Craig S. Bazzani	Vice President for Administration, through April 30, 2002
Michael B. Provenzano	Senior Associate Vice President for Business and Finance
Richard O. Traver	Executive Director of University Audits
Thomas L. Gardner	Assistant Vice President for Business and Finance at Chicago
John Conner	Assistant Vice President for Business and Finance at Springfield
Katharine J. Kral	Assistant Vice President for Business and Finance at Urbana-Champaign
David L. Chicoine	Vice President for Economic Development and Corporate Relations
Sally A. Pelg	Director of Financial Services

Administrative offices are located at:

Central Administration
449 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

Springfield Campus
Public Affairs Center
Room 591
Springfield, Illinois 62794-9243

Chicago Campus
809 South Marshfield
Room 608 MC-078
Chicago, Illinois 60612

Urbana-Champaign Campus
104 Coble Hall
801 South Wright Street
Champaign, Illinois 61820

Compliance Report

**State of Illinois
University of Illinois
Compliance Report Summary
Year Ended June 30, 2002**

The compliance audit testing performed in this audit was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

Auditors' Reports

The auditor's reports on compliance and on internal controls do not contain scope limitations, disclaimers or other significant non-standard language.

Summary of Compliance Audit Findings

Number of	This Audit	Prior Audit
Audit findings	6	12
Repeated audit findings	3	5
Prior recommendations implemented or not repeated	9	9

Details of the compliance audit findings are presented in a separately tabbed report section.

A summary of current findings is located in the Matrix of Current Findings Summarizing the Status of the 2002 Recommendations and University Responses.

A summary of prior year audit findings is located in the Matrix of Prior Findings as Presented in the Compliance Audit Report for the Year Ended June 30, 2001.

Exit Conference

The findings and recommendations appearing in this report were discussed with management of the University on November 15, 2002 and the responses were provided by University personnel for publication by Michael B. Bass, Assistant Vice President for Business and Finance of the University.



Special Report on State Compliance Testing as Measured by State Audit Guide Criteria

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have performed special State compliance testing in accordance with the "Audit Guide for Performing Compliance Audits of Illinois State Agencies" (Audit Guide) issued by the Office of the Auditor General of the operations of the University of Illinois (University) for the year ended June 30, 2002.

We conducted our special State compliance testing in accordance with the Illinois State Auditing Act (Act); in accordance with the applicable auditing standards which are set forth in the Audit Guide as adopted by the Auditor General pursuant to the Act; and, insofar as such standards are applicable to this special testing, in accordance with *Government Auditing Standards*, issued by the Comptroller General of the United States.

Our examination included such tests of the accounting records and such other procedures as we considered necessary in the circumstances. Our program of tests and other auditing procedures has been separately furnished to you. The procedures for special State compliance testing as required by the Audit Guide were designed to determine with reasonable, but not absolute, assurance:

- A. Whether the audited agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. Whether the audited agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. Whether the audited agency has generally complied with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. In the case of a State agency which receives or makes grants:
 1. Whether the agency is auditing the grantees of funds granted by the audited agency for compliance with the terms of the grants and other applicable laws, regulations and rules and whether the frequency and quality of such audits is generally adequate.

2. Whether the agency is auditing grants which it receives to determine that it is using the grant funds in accordance with grant requirements and applicable State and federal laws, regulations and rules and whether the frequency and quality of such audits is generally adequate.
- E. Whether the audited agency is maintaining effective accounting control over revenues, obligations, expenditures, assets and liabilities.
- F. Whether collections of State revenues and receipts by the audited agency are in accordance with applicable laws and regulations and whether the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- G. Whether money or negotiable securities or similar assets handled by the audited agency on behalf of the State or held in trust by the audited agency have been properly and legally administered and whether the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.
- H. Whether tests of agency fiscal operations reveal no evidence of fraud or dishonesty.
- I. Whether the records, books and accounts of the audited agency adequately record its financial and fiscal operations and provide a basis for review of accountability by external auditors.
- J. Whether key financial, statistical and program data produced by the audited agency provide useful information for review of accountability regarding service efforts and accomplishments.

The concept of obtaining reasonable, but not absolute, assurance recognizes that the cost of the audit should not exceed the benefits derived and that judgments need to be made regarding the nature and extent of audit procedures. Special State compliance testing of this type is based upon test samples and would not necessarily disclose all situations of noncompliance which might exist.

There were findings of noncompliance disclosed by our special State compliance audit tests which are required to be reported in accordance with the Audit Guide and they are described in the schedule of findings and questioned costs in this report.

As required by the Audit Guide, immaterial findings developed in this special State compliance testing and excluded from this report have been reported in a separate letter to your Office.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor and University management and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 23, 2002



Special Report on Internal Controls for State Purposes as Measured by State Audit Guide Criteria

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have performed special State compliance testing of the operations of the University of Illinois (University) for the year ended June 30, 2002, and we have issued our special report thereon dated September 23, 2002. We have also made a study of the internal controls of the University that we considered relevant to the criteria established by the Office of the Auditor General in Chapter 7 of the "Audit Guide For Performing Compliance Audits of Illinois State Agencies" (Audit Guide). Our study included tests of compliance with such internal controls during the period from July 1, 2001 through June 30, 2002. These tests were performed as a portion of our testing of the University for State compliance purposes. This special report concerns only our State compliance testing.

We conducted our special State compliance testing in accordance with the Audit Guide; applicable auditing standards generally accepted in the United States of America; and applicable *Government Auditing Standards*, issued by the Comptroller General of the United States.

In planning and performing our special State compliance testing, we considered the internal controls in order to determine our auditing procedures for the purpose of reporting on our special State compliance testing and to satisfy the requirements of the Audit Guide, but not to provide an opinion on the internal controls. Accordingly, we do not express such an opinion.

The management of the University is responsible for establishing, maintaining, and monitoring internal controls. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal controls. Because of inherent limitations in any internal controls, errors or fraud may nevertheless occur and not be detected. Also, projection of any evaluation of internal controls to future periods is subject to the risk that internal controls may become inadequate because of changes in conditions or that the effectiveness of the design and operation of control activities may deteriorate.

For the purpose of this special report, we have classified the significant internal control activities in the following categories:

- Agency Organization and Management
- Administrative Support Services
- Budgeting, Accounting and Reporting
- Purchasing, Contracting and Leasing
- Expenditure Control

- Personnel and Payroll
- Property, Equipment and Inventories
- Revenues and Receivables
- Petty Cash and Local Funds
- Grant Administration
- Electronic Data Processing

For all of the internal control categories listed above, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk.

Our consideration of the internal controls would not necessarily disclose all matters in the internal controls that might be reportable. Reportable matters for State compliance audit purposes involve items coming to our attention relating to significant deficiencies in the design or operation of the internal controls. We understand that internal controls in conformity with the criteria established by your Audit Guide are considered by the Office of the Auditor General to be adequate for State compliance audit purposes in accordance with the Illinois State Auditing Act and related regulations, and that policies or procedures not in conformity with those criteria indicate some inadequacy for such purposes which should be reported.

Based on this understanding and on our study, we believe the conditions described in the schedule of findings and questioned costs in this report are significant matters for State compliance purposes and are required to be reported pursuant to the criteria established by your Audit Guide.

As required by the Audit Guide, immaterial findings developed relating to the internal controls and excluded from this report have been reported in a separate letter to your Office.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor and University management and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 23, 2002



**Independent Accountants' Report on Compliance and
on Internal Control over Financial Reporting
Based on an Audit of Financial Statements Performed
in Accordance With *Government Auditing Standards***

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the basic financial statements of the University of Illinois (University), as of and for the year ended June 30, 2002, and have issued our report thereon dated September 23, 2002, which contained an explanatory paragraph regarding a change in accounting principles. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance that we have reported to the Office of the Auditor General in a separate letter dated September 23, 2002.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting. However, we noted certain matters involving the internal control over financial reporting and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over financial reporting that, in our judgment, could adversely affect the University's ability to record, process, summarize and report financial data consistent with the assertions of management in the financial statements. Reportable conditions are described in the accompanying Schedule of Findings and Questioned Costs as items 02-1 through 02-4.

Solutions
for
Success

A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe none of the reportable conditions described above is a material weakness. We also noted other matters involving the internal control over financial reporting that we have reported to the Office of the Auditor General in a separate letter dated September 23, 2002.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Office of the Inspector General of the U. S. Department of Defense (Cognizant Agency), federal awarding agencies and pass-through entities and University management and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 23, 2002



Independent Accountants' Report on Compliance with Requirements Applicable to Each Major Program and on the Internal Control over Compliance in Accordance with OMB Circular A-133

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have audited the compliance of the University of Illinois (University) with the types of compliance requirements described in the *U. S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2002. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2002.

**Solutions
for
Success**

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

We noted a certain matter involving the internal control over compliance and its operation that we consider to be a reportable condition. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over compliance that, in our judgment, could adversely affect the University's ability to administer a major federal program in accordance with applicable requirements of laws, regulations, contracts and grants. The reportable condition is described in the accompany schedule of findings and questioned costs as item 02-5.

A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe the reportable condition described above is not a material weakness.

Schedule of Expenditures of Federal Awards

As Special Assistant Auditors for the Auditor General, we have audited the basic financial statements of the University as of and for the year ended June 30, 2002, and have issued our report thereon dated September 23, 2002, which contained an explanatory paragraph regarding a change in accounting principles. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Office of the Inspector General of the U. S. Department of Defense (Cognizant Agency), federal awarding agencies and pass-through entities and University management and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 23, 2002

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

Summary of Auditor's Results

June 30, 2002 Financial Statements

Type of auditor's report issued: unqualified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes X no
- Reportable condition(s) identified that are not considered to be material weaknesses? X yes _____ none reported

Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ yes X no
- Reportable condition(s) identified that are not considered to be material weaknesses? X yes _____ none reported

Type of auditor's report issued on compliance for major programs: unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? X yes _____ no

Identification of major programs:	<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>
	<u>Cluster</u>	<u>Research and Development</u>
	<u>93.778</u>	<u>Medical Assistance Program —</u>
		<u>Home Nursing</u>
	<u>93.994</u>	<u>Maternal and Child Health Services</u>
	<u>47.076</u>	<u>Education and Human Resources</u>
	<u>84.334</u>	<u>Gaining Early Awareness and Readiness</u>
		<u>for Undergraduate Programs</u>

Dollar threshold used to distinguish between type A and type B programs: \$ 3,000,000

Auditee qualified as low-risk auditee? X yes _____ no

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University of Illinois Hospital
Current Findings — Government Auditing Standards**

02-1. Finding – System Variance in Accounts Receivable

The University Hospital's reconciliation between the total accounts receivable per the subsidiary ledger and the total accounts receivable per the University Financial Accounting System (UFAS) contained an unlocated system variance of approximately \$710,000.

An accounts receivable reconciliation of all detail components of the overall accounts receivable must be accumulated and manually prepared in order to tie to the general ledger at the end of each month. The underlying detail components are supported by various reports that are tested during audit procedures. At June 30, 2002, the reconciliation contained an unidentifiable system variance of approximately \$710,000. Gross accounts receivable for the University Hospital totaled approximately \$198,000,000.

Prudent internal controls require reconciliations of general ledger account balances to the underlying subledger, including timely resolution of material reconciling items.

Hospital personnel identified the difference during the reconciliation process, but indicated they were unable to determine the cause for the difference. Unreconciled variances have occurred in the monthly closing and reconciliation process throughout the year.

The detailed patient receivable reports were overstated by the unlocated difference. Several individuals use the detailed reports to perform various tasks, such as the estimation of the contractual and bad debt allowances. In this case the difference acted as an additional allowance against patient receivables. Inaccurate accounts receivable information results in misstated Hospital operating statements. (Finding Code No. 02-1, 01-3)

Recommendation

We recommend that the Hospital ensure that any differences identified during the accounts receivable reconciliation process are resolved timely.

University Response

We concur. The Hospital reconciles the receivable subsidiary ledger and general ledger on a monthly basis. Appropriate adjustments are to be recorded monthly for any differences. The Hospital continues to work on determining the reasons for the reconciling differences in order to minimize these adjustments in the future.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University — Chicago Campus
Current Findings — Government Auditing Standards**

02-2. Finding – Capital Asset Errors

The Chicago campus had errors in its June 30, 2002 capital asset balance prior to audit adjustments being posted.

Two errors resulted in a capital asset understatement of \$12,269,724. Both errors occurred during the adoption of a new equipment capitalization policy. For fiscal year 2002, the University adopted a \$5,000 capitalization threshold for equipment purchases. As part of the implementation of the new capitalization threshold, equipment purchased in previous years for \$5,000 or less was removed from the property accounting system.

In the process of deleting batches of equipment previously purchased for \$5,000 or less, keystroke errors were made that caused improper deletions of land and a building. Instead of appropriately deleting property control number E00620 (equipment), property number 000620 (land) was deleted in error. The value of the land was \$4,972,960. Deletion of building number 000957 occurred the same way. The value of the building was \$7,296,764. Prudent business practices require maintaining proper records of capital assets. The University adjusted its financial statements to correct the understatements. (Finding Code No. 02-2)

Recommendation

We recommend that the Chicago Campus have all capital asset deletions reviewed by an appropriate level of management.

University Response

We concur. Capital asset deletions will be reviewed by appropriate management.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University — Urbana Campus
Current Findings — Government Auditing Standards**

02-3. Finding – Recording of Charitable Remainder Trusts

The Urbana Campus is the beneficiary of charitable remainder trusts that are not recorded in the financial statements.

During our review of the University's beneficial interest trusts, we identified five trusts that have charitable remainder interests that will revert to the University at a future date. The University currently records these five charitable remainder trusts as beneficial interest trusts, which are not reflected in the University's financial statements. Beneficial interest trusts are properly not recorded in the financial statements. However, generally accepted accounting principles require recording a pledge receivable for charitable remainder amounts that will be received at a future date.

University personnel were not aware that charitable remainder trusts are to be recorded in the financial statements.

The gross value of the University's interest in these five trusts was approximately \$4,600,000 at June 30, 2002. The University should record the present value of estimated future cash flows. University records were not adjusted at June 30, 2002 to record the receivable. (Finding Code No. 02-3)

Recommendation

We recommend that the Urbana Campus properly identify charitable remainder trusts and record them in the financial statements in accordance with generally accepted accounting principles.

University Response

We concur. The University Office of Cash Management and Investments (OCMI) maintains a listing of beneficial interest in trust arrangements and this listing identifies the external trusts that will provide the University a remainder interest in addition to the income interest. While procedures are in place to identify and manage beneficial interest in trust termination dates, OCMI recognizes the need to comply with generally accepted accounting principles. Beginning in fiscal year 2003, OCMI will alter year-end accounting procedures to include the recording of the net present value of pledge receivables related to charitable remainder trusts.

State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

University — Urbana and Chicago Campuses
Current Findings — Government Auditing Standards

02-4. Finding – Overstatement of Accounts Receivable

The Urbana and Chicago campuses had errors in their June 30, 2002 unrestricted accounts receivable balance.

Miscommunication and duplicate entry postings caused a \$600,000 overstatement of unrestricted accounts receivable at the Urbana Campus. During the year-end closing process, the Accounting Department inquired of the Development Office whether any uncollected receivables existed related to the Biennial Conference for Working Women, which took place during fiscal year 2002. The Development Office misunderstood the question as asking how much in total had been collected from outside sponsors relating to the conference. The Development Office reported approximately \$300,000 to Accounting, which was the total received from outside sponsors. The Accounting Department recorded a year-end receivable of \$300,000 relating to the conference, when in fact the year-end receivable amount should have been zero. Subsequently, the Accounting Department became aware of the mistake and tried to correct it, but recorded the correction improperly thereby duplicating the misposting. The end result was a \$600,000 overstatement of accounts receivable and revenues. University records were not adjusted at June 30, 2002 for the errors.

A lack of understanding caused a \$1,074,261 overstatement of unrestricted accounts receivable at the Chicago Campus. The dental accounts receivable balance at June 30, 2002 was reported gross, rather than net of credit balances. Historically, credit balances that relate to payments received, but not yet posted, to the detail listing of receivables are netted against the gross accounts receivable balance. In addition, prepayments for dental services have also been historically netted against the gross receivable balance, rather than recording as deferred revenue. Upon investigation, it was discovered that the June 30, 2002 dental accounts receivable balance was reported at the gross amount, which overstated both accounts receivable and revenues by \$1,074,261. University records were not adjusted at June 30, 2002 for the error.

Prudent business practices require proper recording of accurate accounts receivable balances.
(Finding Code No. 02-4)

Recommendation

We recommend that the Urbana and Chicago Campuses implement procedures to facilitate proper recording of receivables and ensure that the procedures are clearly understood by personnel responsible for the accounting procedures.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University — Urbana and Chicago Campuses
Current Findings — *Government Auditing Standards***

02-4. Finding – Overstatement of Accounts Receivable (Continued)

University Response

We concur. The Urbana Accounting Division will continue to refine closing interactions and communications with units and review their responses to assure the correct entries are recorded. College of Dentistry staff has been retrained on the procedures for determining the net receivable amount and the proper classification of deferred revenues. The College has implemented a new clinic information system. This system provides management enhanced reporting and tracking capabilities to review and manage receivable data.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University — Urbana Campus
Current Findings — Federal and Questioned Costs**

02-5. Finding – Grant-Supported Publications

Federal Agency: Department of Energy
Research and Development Cluster
CFDA Number: 81.049
Program Name: Nanoscale Materials Systems – DE-FG02-91ER45439
Questioned Costs: None

Contrary to Federal regulations, the Urbana Campus did not include a proper disclaimer in certain grant-supported publications.

During our testing of grant-supported publications at the Urbana campus, we noted five of the five (100%) publications tested did not include a proper disclaimer for Department of Energy Program DE-FG02-91ER45439.

University personnel stated that the omissions are due to inconsistent implementation of procedures.

Federal Demonstration Partnership (FDP) Implementation of Federal Management Circulars states that a disclaimer must appear in the publication of any material, whether copyrighted or not, based on or developed under this project. According to FDP Terms and Conditions (May 16, 2000), in the publication of any material, the disclaimer will be: “Any opinions, findings and conclusions or recommendations expressed in this publication are those of the author(s) and do not necessarily reflect the views of the [name(s) of awarding agency (ies)].”

Failure to include requisite disclaimers constitutes lack of compliance with grant requirements that, if continued, could potentially jeopardize funding. (Finding Code No. 02-5, 01-4, 00-6, 99-5, 98-2)

Recommendation

We recommend that the Urbana Campus continue to require the departments to consistently implement procedures to communicate specific grant requirements to project personnel and regularly review grant-supported publications for compliance with such requirements.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University — Urbana Campus
Current Findings — Federal and Questioned Costs**

02-5. Finding – Grant-Supported Publications (Continued)

University Response

We concur. We will continue to inform and educate Principal Investigators of their obligation to properly include a disclaimer in the publication of any materials based on or developed under sponsored projects. The Grants and Contracts Office has taken proactive steps to ensure that Principal Investigators are aware of this requirement. In addition to guidance provided by our written policies and procedures, the Grants and Contracts Office has instituted a practice of sending annual email notices to investigators reinforcing their responsibility to include disclaimers and to properly acknowledge sponsorship in their publications.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University Information Systems and Services
Current Findings — State Compliance**

02-6. Finding – Outdated Information Systems and Services

The Student Accounts Receivable (SAR) system at the Urbana Campus is antiquated, complicating adherence to good data processing practices, and should be replaced before it disrupts operations.

Procedures performed by other auditors over a decade ago revealed the following problems:

- There was very little documentation as to how the system is structured and operates, and the documentation that does exist had not been updated.
- Because of the age of the system, proposed updates and enhancements by users are limited.

While the SAR is currently operative, the lack of documentation and age of the system puts continued maintenance at the mercy of the few Office of Administrative Information Technology Services (AITS) programmers who have gained an understanding of how it works. If these programmers were to leave the University without cross training other individuals in the SAR program or if a problem developed, a major disruption in the University's accounts receivable processing could occur.

Good data processing practices prescribe that system documentation exist to the extent that any experienced member of the AITS staff could understand the system and correct problems in a timely fashion. In addition, the Urbana Campus appears to be hampered in enhancing its Revenue/Receivable systems by the age of the SAR.

We discussed the status of this finding with University management during our audit. We noted that there had been a change in staff during 1998 without any disruption and the University had indicated that the SAR program achieved Year 2000 compliance. However, they are aware that a new Student Accounts Receivable system is needed. University personnel indicated that their enterprise resource planning (ERP) system initiative would alleviate this situation. (Finding Code No. 02-6, 01-8, 00-8, 99-7, 98-5)

Recommendation

We recommend the University continue its efforts towards replacing the current Urbana Campus Student Accounts Receivable system with a modern receivable system within a reasonable time frame.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**University Information Systems and Services
Current Findings — State Compliance**

02-6. Finding – Outdated Information Systems and Services (Continued)

University Response

We concur. Replacement of the Urbana Student Accounts Receivable (SAR) system is planned as part of the implementation of an ERP system for support of university-wide administrative systems. The University selected SCT as our ERP vendor in September of 2000. The SCT Banner package includes a Student Accounts Receivable system. The UI-Integrate project timeline continues to indicate that this module will be live in the summer of 2003.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

Prior Findings Not Repeated

PRIOR FINDINGS – GOVERNMENT AUDITING STANDARDS

University – Central University and Chicago Campuses

02-7. Accounts Payable Reconciliation and Identification

In the prior audit, we noted the detailed transactions for the University accounts payable balance were not reconciled at June 30, 2001, and the Chicago Campus had errors in its June 30, 2001 accounts payable balance. (Finding Code No. 01-1)

During the current audit, we noted that the detailed transactions for the University accounts payable balance were reconciled at June 30, 2002, and we noted no errors in the Chicago Campus' June 30, 2002 accounts payable balance.

University of Illinois Hospital

02-8. Managed Care

In the prior audit, we noted that certain contract terms within the University Hospital's managed care contracts, including negotiated discounts, stop loss provisions, etc., were not correctly applied to the associated patient billing file as services were performed. Also, personnel within the managed care department identified an error in its contractual payments from one of its payers resulting in an additional receivable of approximately \$5,000,000. (Finding Code No. 01-2)

During the current audit, we reviewed contractual allowances and tested individual patient accounts noting that posting of managed care contractual allowances to the patient accounts appeared to be timely and accurate.

PRIOR FINDINGS – FEDERAL AND QUESTIONED COSTS

University – Urbana Campus

02-9. Disbursements for Student Direct Loans Not Timely Reported

During the prior audit, we noted 18 of 21 (86%) student direct loan disbursements were reported 4 to 143 days late to the Direct Loan Servicing System (DLSS). (Finding Code No. 01-05)

During the current audit, we noted only 1 of 15 (7%) student direct loans was reported 12 days late to DLSS. This was caused by a computer system difficulty at the Department of Education, which was beyond the University's control.

**State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002**

**Prior Findings Not Repeated
(Continued)**

02-10. Reconciliation Was Not Performed

During the prior audit, we noted the Urbana Campus did not perform a reconciliation of Direct Loan Student Account Statement data files to institution records on a monthly basis. (Finding Code No. 01-06)

During the current audit, we noted reconciliations were performed on a monthly basis.

PRIOR FINDINGS – STATE COMPLIANCE

University Information Systems and Services

02-11. Lack of Security in the University's Library Administrative Computer System

During the prior audit, we noted the University's Library Administrative Computer System lacked appropriate security controls. (Finding Code No. 01-07, 00-7, 99-10)

During the current audit, we noted the University's Administrative Information Technology Services (AITS) appeared to have addressed the control objectives of software security. Further, it appeared the University had adequately created policies, procedures and compliance requirements to address this finding.

02-12. Disaster Contingency Plan Weakness

During the prior audit, AITS had developed a disaster contingency plan for the University's administrative and business production applications under AITS' stewardship and management; however, the plan had several weaknesses. (Finding Code No. 01-9, 00-9, 99-8)

During the current audit, we noted AITS had appeared to address the majority of the issues identified in the prior year. We noted the Business Continuation Plan defines the test methodology and types of testing; however, the Plan does not include documentation of the actual tests done by type, date or result. This is reported as an immaterial finding.

State of Illinois
University of Illinois
Schedule of Findings and Questioned Costs
Year Ended June 30, 2002

Prior Findings Not Repeated
(Continued)

02-13. Computer Security

During the prior audit, we noted the University did not consistently institute security practices to protect its extensive computing environment. In addition, enterprise-wide guidelines to promote baseline security requirements had not been developed. (Finding Code No. 01-10, previously reported as immaterial)

During the current audit, we noted the University had adequately created policies, procedures and compliance requirements to address this finding.

University of Illinois Hospital

02-14. Contractual Allowance Calculation

In the prior audit, we noted that certain patient accounts receivable balances were not reduced to their net realizable values accurately or in a timely manner. (Finding Code No. 01-11, 00-10, 99-13)

During the current audit, we reviewed contractual allowances and tested individual accounts. Posting of contractual allowances for Medicare, Medicaid and managed care accounts appeared to be timely and accurate.

University - University Wide

02-15. Annual Insurance Certification

During the prior audit, it was noted that the University did not require annual certifications from employees to whom vehicles had been assigned on an ongoing basis with approval to use them for other than official State business. None of the 10 employees who were assigned vehicles under these conditions had completed the required annual certification regarding licensure and liability insurance. (Finding Code No. 01-12)

During the current audit, we reviewed the annual certifications filed by the employees who are assigned a University-owned vehicle with approval to use them for other than official business.

**State of Illinois
University of Illinois
Matrix of Prior Findings as Presented in the
State and Federal Compliance Audit Reports
Year Ended June 30, 2001**

As part of the University of Illinois compliance audit for the year ended June 30, 2002, we have evaluated the recommendations made during our prior year compliance audits of the University. The following schedule summarizes the results of the evaluation. The recommendation numbers correspond to the University of Illinois State and Federal compliance audit reports for the year ended June 30, 2001.

Recommendation	Repeated	Not Repeated
CURRENT FINDINGS — GOVERNMENT AUDITING STANDARDS		
<i>University — Central University and Chicago Campuses</i>		
01-1. <u>Accounts Payable Reconciliation and Identification</u> (Finding Code No. 01-1)		02-7
Implement policies and procedures to prepare an accounts payable database and reconcile to the UFAS balance sheet on a regular basis. Develop procedures to assist in identifying accounts payable. Ensure Chicago Campus personnel understand and adhere to policies.		
<i>University of Illinois Hospital</i>		
01-2. <u>Managed Care</u> (Finding Code No. 01-2)		02-8
Implement procedures to ensure contractual changes are entered timely into the Hospital's billing process. Monitor negotiated terms for large balances and adjust accordingly at the time services are performed.		
01-3. <u>System Variance in Accounts Receivable</u> (Finding Code No. 01-3)	02-1	
Ensure that accounts receivable are properly reconciled to the general ledger and resolve material reconciling items.		

**State of Illinois
University of Illinois
Matrix of Prior Findings as Presented in the
State and Federal Compliance Audit Reports
Year Ended June 30, 2001**

Recommendation	Repeated	Not Repeated
(Continued)		
CURRENT FINDINGS — FEDERAL AND QUESTIONED COSTS		
<i>University — Urbana Campus</i>		
01-4. <u>Grant-Supported Publications</u> (Finding Code No. 01-4, 00-6, 99-5, 98-2)	02-5	
Continue to require departments to consistently implement procedures to communicate specific grant requirements and regularly review grant-supported documents for compliance with such requirements.		
01-5. <u>Disbursements for Student Direct Loans Not Timely Reported</u> (Finding Code No. 01-5)		02-9
Monitor dates of direct loan disbursements to ensure reporting within thirty days.		
01-6. <u>Reconciliation was not Performed</u> (Finding Code No. 01-6)		02-10
Establish procedures to ensure reconciliation of DLSAS data files to University records on a monthly basis.		
CURRENT FINDINGS — STATE COMPLIANCE		
<i>University Information Systems and Services</i>		
01-7. <u>Lack of Security on the University Library's Administrative Computer System</u> (Finding Code No. 01-7, 00-7, 99-10)		02-11
Strengthen security controls over the Library's current administrative computer system.		
01-8. <u>Outdated Information Systems and Services</u> (Finding Code No. 01-8, 00-8, 99-7, 98-5, previously presented as immaterial)	02-6	
Continue efforts towards replacing the current Urbana Campus student accounts receivable system with a modern receivable system.		

**State of Illinois
University of Illinois
Matrix of Prior Findings as Presented in the
State and Federal Compliance Audit Reports
Year Ended June 30, 2001**

Recommendation	Repeated	Not Repeated
(Continued)		
01-9. <u>Disaster Contingency Plan Weakness</u> (Finding Code No. 01-9, 00-9, 99-8)		02-12
Continue efforts towards developing a disaster contingency plan that addresses all of the University's computing environments.		
01-10. <u>Computer Security</u> (Finding Code No. 01-10)		02-13
Strengthen computer security within its computing environments.		
<i>University of Illinois Hospital</i>		
01-11. <u>Contractual Allowance Calculations</u> (Finding Code No. 01-11, 00-10, 99-13)		02-14
Review processes for calculating the adjustment for contractual allowances.		
<i>University — System-Wide</i>		
01-12. <u>Annual Insurance Certification</u> (Finding Code No. 01-12)		02-15
Establish a policy to comply with the State mandate.		
	3	9

State of Illinois University of Illinois

Matrix of Current Findings Summarizing the Status of the 2002 Recommendations and University Responses

As part of our audit of the University of Illinois, we have summarized the status of our 2002 recommendations and University responses:

Recommendation	Accepted	Deferred	Rejected
CURRENT FINDINGS — GOVERNMENT AUDITING STANDARDS			
<i>University of Illinois Hospital</i>			
02-1. <u>System Variance in Accounts Receivable</u> (Finding Code No. 02-1, 01-3)	X		
Ensure differences identified during the accounts receivable reconciliation process are resolved.			
<i>University — Chicago Campus</i>			
02-2. <u>Capital Asset Errors</u> (Finding Code No. 02-2)	X		
Review capital asset deletions by an appropriate level of management.			
<i>University — Urbana Campus</i>			
02-3. <u>Recording of Charitable Remainder Trusts</u> (Finding Code No. 02-3)	X		
Properly identify charitable remainder trusts and record in the financial statements.			
<i>University — Urbana and Chicago Campus</i>			
02-4. <u>Overstatement of Accounts Receivable</u> (Finding Code No. 02-4)	X		
Implement procedures to facilitate proper recording of receivables and ensure procedures are clearly understood.			

**State of Illinois
University of Illinois**

**Matrix of Current Findings Summarizing the Status of
the 2002 Recommendations and University Responses**

Recommendation	Accepted	Deferred	Rejected
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(Continued)

**CURRENT FINDINGS — FEDERAL AND
QUESTIONED COSTS**

University — Urbana Campus

<p>02-5. <u>Grant-Supported Publications</u> (Finding Code No. 02-5, 01-4, 00-6, 99-5, 98-2)</p>	<p>X</p>
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Continue to require departments to consistently implement procedures to communicate specific grant requirements to project personnel and regularly review grant-supported publications for compliance with such requirements.

CURRENT FINDINGS — STATE COMPLIANCE

University Information Systems and Services

<p>02-6. <u>Outdated Information Systems and Services</u> (Finding Code No. 02-6, 01-8, 00-8, 99-7, 98-5)</p>	<p>X</p>
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Continue efforts towards replacing the current Urbana Campus Student Accounts Receivable system with a modern system within a reasonable time frame.

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Financial Related Information

**State of Illinois
University of Illinois
Financial Related Information Summary
Year Ended June 30, 2002**

Financial related information presented in this section of the audit includes the following:

- Financial Related Schedule:

Schedule of Expenditures of Federal Awards and Related Note Disclosures

The Schedule of Expenditures of Federal Awards presented in this section has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditors' opinion, it is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

Audit findings and auditors' reports on compliance testing and questioned costs and internal control related to federal awards are presented in the Compliance Report section of this audit report.

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Research and Development:					
U. S. Department of Agriculture					
Direct Awards					
Hatch		\$ 4,235,871	\$ —	\$ —	\$ 4,235,871
Other Federal Assistance		1,684,144	—	—	1,684,144
Agriculture Research — Basic and Applied Research	10.001	495,764	—	—	495,764
Plant and Animal Disease, Pest Control and Animal Care	10.025	81,129	—	—	81,129
Wildlife Services	10.028	—	(4,348)	—	(4,348)
Grants for Agricultural Research, Special Research					
Grants	10.200	2,852,425	—	—	2,852,425
Cooperative Forestry Research	10.202	170,397	—	—	170,397
Payments To Agricultural Experiment Stations Under					
Hatch Act	10.203	1,330,275	—	—	1,330,275
Grants for Agricultural Research-Competitive Research	10.206	3,580,518	145,277	—	3,725,795
Animal Health and Disease Research	10.207	158,402	—	—	158,402
Food and Agricultural Science National Needs Graduate					
Fellowship	10.210	63,596	—	—	63,596
Biotechnology Risk Assessment Research	10.219	6,607	—	—	6,607
Fund for Rural America Research Education and					
Extension	10.224	47,740	—	—	47,740
Initiative for Future Agriculture and Food Systems	10.302	645,430	—	—	645,430
Integrated Programs	10.303	111,993	—	—	111,993
Forestry Research	10.652	39,883	—	—	39,883
Cooperative Forestry Assistance	10.664	118,204	—	—	118,204
Soil and Water Conservation	10.902	24,050	—	—	24,050

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
International Agricultural Research — Collaborative Program	10.961	\$ 15,871	\$ —	\$ —	\$ 15,871
Engineering Grants	47.041	300	—	—	300
Anticipated Federal Assistance	ANTIC	48,109	—	—	48,109
Indirect Awards		—	—	—	—
Biotech Research and Development ZUC*		120,282	—	—	120,282
Pass-Through from State of Illinois		—	—	—	—
Federal-State Marketing Improvement Program	10.156	8,914	—	—	8,914
Special Supplemental Food Program for Women, Infants, and Children	10.557	—	181	—	181
Agency Totals		<u>15,839,904</u>	<u>141,110</u>	<u>—</u>	<u>15,981,014</u>
U. S. Central Intelligence Agency					
Direct Awards					
Other Federal Assistance		<u>190,657</u>	<u>—</u>	<u>—</u>	<u>190,657</u>
Agency Totals		<u>190,657</u>	<u>—</u>	<u>—</u>	<u>190,657</u>
U. S. Department of Commerce					
Direct Awards					
Other Federal Assistance		(12,237)	—	—	(12,237)
Special American Business Internship Training Program (SABIT)	11.114	5,446	—	—	5,446
Economic Development-Technical Assistance	11.303	—	108,822	—	108,822
Sea Grant Support	11.417	66,798	52,147	—	118,945
Coastal Zone Management Program Administration	11.419	—	67,294	—	67,294
Measurement & Engineering Research & Standards	11.609	215,814	—	—	215,814
Advanced Technology Program	11.612	2,337	(17,410)	—	(15,073)
Upper Mississippi River System Long Term Resource	15.978	<u>3,178</u>	<u>—</u>	<u>—</u>	<u>3,179</u>
Agency Totals		<u>281,336</u>	<u>210,853</u>	<u>—</u>	<u>492,189</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Commerce-National Bureau of Standards					
Direct Awards					
Climate and Atmospheric Research	11.431	\$ 20	\$ —	\$ —	\$ 20
Measurement & Engineering Research & Standards	11.609	12,256	—	—	12,256
Advanced Technology Program	11.612	—	6,281	—	6,281
Agency Totals		<u>12,276</u>	<u>6,281</u>	<u>—</u>	<u>18,557</u>
U. S. Department of Commerce-National Oceanic and Atmospheric Administration					
Direct Awards					
Other Federal Assistance		450,646	—	—	450,646
Sea Grant Support	11.417	180,626	—	—	180,626
Coastal Zone Management Estuarine Research Reserves	11.420	16,487	—	—	16,487
Climate and Atmospheric Research	11.431	118,360	—	—	118,360
Office of Oceanic and Atmospheric Research (OAR)	11.432	7,164	—	—	7,164
Agency Totals		<u>773,283</u>	<u>—</u>	<u>—</u>	<u>773,283</u>
U. S. Department of Energy					
Direct Awards					
Other Federal Assistance		148,904	123,206	—	272,110
Energy-Related Inventions	81.036	—	63,478	—	63,478
Basic Energy Sciences, High Energy/nuclear Physics	81.049	12,423,835	1,328,813	—	13,752,648
Mathematical and Physical Sciences	81.049	14,378	—	—	14,378
Office of Scientific & Technical Information	81.064	—	141,490	—	141,490
Conservation Research and Development	81.086	150,499	111,152	—	261,651
Renewable Energy Research and Development	81.087	—	(54,252)	—	(54,252)
Office of Science and Technology for Environmental National Industrial Competitiveness through	81.104	53,694	53,050	—	106,744
Environment and Economics	81.105	—	38,865	—	38,865
Oil Recovery Demonstration	81.107	913	—	—	913

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
University Nuclear Science and Reactor Support	81.114	\$ 216,790	\$ —	\$ —	\$ 216,790
Indirect Awards					
Other Federal Assistance		37,312	—	—	37,312
Pass-Through from State of Illinois		—	—	—	
State Energy Conservation	81.041	—	20,216	—	20,216
Agency Totals		<u>13,046,325</u>	<u>1,826,018</u>	<u>—</u>	<u>14,872,343</u>
U. S. Energy Subs					
Direct Awards					
Other Federal Assistance		1,862,758	—	—	1,862,758
Basic Energy Sciences, High Energy/Nuclear Physics	81.049	173,521	—	—	173,521
Renewable Energy Research and Development	81.087	(536)	—	—	(536)
Oil Recovery Demonstration	81.107	132,772	—	—	132,772
Agency Totals		<u>2,168,515</u>	<u>—</u>	<u>—</u>	<u>2,168,515</u>
U. S. Department of Energy – Argonne National Laboratory					
Direct Awards					
ANL IF-02329*		—	19,393	—	19,393
Argonne National Laboratory*		—	145,818	—	145,818
Argonne National Laboratories*		—	224,471	—	224,471
DOE ANL 1F-00861*		114,718	—	—	114,718
DOE ANL 1F-00941*		129,545	—	—	129,545
DOE ANL 1F-01630*		50,000	—	—	50,000
DOE ANL 1F-01789*		30,630	—	—	30,630
DOE ANL 1F-02189*		15,256	—	—	15,256
DOE ANL 2000-NTN3-08*		3,393	—	—	3,393
DOE ANL 2F-00801*		9,362	—	—	9,362
DOE ANL 2F-01061*		10,201	—	—	10,201
DOE ANL 980332401*		83,640	—	—	83,640
DOE ANL 982952402*		39,324	—	—	39,324

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
DOE ANL 992702401*		\$ 1	\$ —	\$ —	\$ 1
DOE ANL OE-23629*		78,578	—	—	78,578
DOE ANL OE-23649*		(29,288)	—	—	(29,288)
Basic Energy Sciences, High Energy/Nuclear Physics	81.049	—	15,238	—	15,238
Office of Scientific & Technical Information	81.064	—	65,877	—	65,877
Transportation of Transuranic Wastes to Isolation Pilot Plant	81.106	—	59,509	—	59,509
Agency Totals		<u>535,360</u>	<u>530,306</u>	<u>—</u>	<u>1,065,666</u>
U. S. Department of Energy – Brookhaven National Laboratory					
Direct Awards					
Microbiology and Infectious Diseases Research	93.856	<u>50,872</u>	<u>—</u>	<u>—</u>	<u>50,872</u>
Agency Totals		<u>50,872</u>	<u>—</u>	<u>—</u>	<u>50,872</u>
U. S. Department of Energy – Fermi National Laboratory					
Direct Awards					
DOE FNAL 500803*		59,754	—	—	59,754
DOE FNAL 534557*		<u>1,090</u>	<u>—</u>	<u>—</u>	<u>1,090</u>
Agency Totals		<u>60,844</u>	<u>—</u>	<u>—</u>	<u>60,844</u>
U. S. Department of Energy – Lawrence National Laboratory					
Direct Awards					
DOE LLNL 1014830/2*		66,153	—	—	66,153
DOE LLNL B209032*		105,830	—	—	105,830
DOE LLNL B338249*		19,327	—	—	19,327
DOE LLNL B341494*		4,477,807	—	—	4,477,807
DOE LLNL B344843*		(12)	—	—	(12)
DOE LLNL B347714*		2,321	(9,262)	—	(6,941)
DOE LLNL B505214*		175,434	—	—	175,434
DOE LLNL B506657*		81,932	—	—	81,932
DOE LLNL B507374*		438,986	—	—	438,986

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
DOE LLNL B515082*		\$ 23,524	\$ —	\$ —	\$ 23,524
DOE LLNL B517202*		29,016	—	—	29,016
DOE LLNL B519735*		<u>22,618</u>	<u>—</u>	<u>—</u>	<u>22,618</u>
Agency Totals		<u>5,442,936</u>	<u>(9,262)</u>	<u>—</u>	<u>5,433,674</u>
U. S. Department of Energy – Los Almos National Laboratory					
Direct Awards					
DOE LANL 12076-001*		31,616	—	—	31,616
DOE LANL 1998-NTN1-2*		3,393	—	—	3,393
DOE LANL 30167010139*		84,563	—	—	84,563
DOE LANL 3223501019Z*		115,665	—	—	115,665
DOE LANL H3796-0019*		11,703	—	—	11,703
DOE RICE R71700H*		<u>277,523</u>	<u>—</u>	<u>—</u>	<u>277,523</u>
Agency Totals		<u>524,463</u>	<u>—</u>	<u>—</u>	<u>524,463</u>
U. S. Department of Energy – Martin Marietta					
Direct Awards					
DOE MME 19X-SK917C*		<u>58,450</u>	<u>—</u>	<u>—</u>	<u>58,450</u>
Agency Totals		<u>58,450</u>	<u>—</u>	<u>—</u>	<u>58,450</u>
U. S. Department of Energy – Miscellaneous					
Direct Awards					
Anticipated Federal Assistance	ANTIC	<u>—</u>	<u>88</u>	<u>—</u>	<u>88</u>
Agency Totals		<u>—</u>	<u>88</u>	<u>—</u>	<u>88</u>
U. S. Department of Energy – Oak Ridge National Laboratory					
Direct Awards					
DOE 2001-MDN2-25*		8,846	—	—	8,846
DOE BATT 4000005538*		63,235	—	—	63,235
DOE BATT 4000005773*		19,882	—	—	19,882
DOE IS 304303OF*		18,131	—	—	18,131
DOE LM 4500010395*		45,383	—	—	45,383

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
DOE LM 7043629*		\$ 8,621	\$ —	\$ —	\$ 8,621
Office of Scientific and Technical Information	81.064	<u>—</u>	<u>19,735</u>	<u>—</u>	<u>19,735</u>
Agency Totals		<u>164,098</u>	<u>19,735</u>	<u>—</u>	<u>183,833</u>
U. S. Department of Energy – Sandia					
Direct Awards					
DOE SNL 11683*		50,554	—	—	50,554
DOE SNL 16320*		31,841	—	—	31,841
DOE SNL 16806*		46,749	—	—	46,749
DOE SNL 19316*		51,613	—	—	51,613
DOE SNL 23379*		23,000	—	—	23,000
DOE SNL 23826*		20,601	—	—	20,601
DOE SNL BB-5512*		7,410	—	—	7,410
DOE SNL BF-0162*		69,252	—	—	69,252
DOE SNL BF-3798*		23,852	—	—	23,852
DOE SNL LG-3996*		145	—	—	145
Sandia Laboratory*		—	276,700	—	276,700
Sandia National Laboratory*		—	19,745	—	19,745
Office of Scientific and Technical Information	81.064	<u>—</u>	<u>12,818</u>	<u>—</u>	<u>12,818</u>
Agency Totals		<u>325,017</u>	<u>309,263</u>	<u>—</u>	<u>634,280</u>
U. S. Department of Energy					
Direct Awards					
National Energy Information Center	81.039	<u>—</u>	<u>138,973</u>	<u>—</u>	<u>138,973</u>
Agency Totals		<u>—</u>	<u>138,973</u>	<u>—</u>	<u>138,973</u>
U. S. Department of State					
Direct Awards					
Other Federal Assistance		—	51,150	—	51,150
Social Behavioral and Economic Sciences	47.075	<u>4,008</u>	<u>—</u>	<u>—</u>	<u>4,008</u>
Agency Totals		<u>4,008</u>	<u>51,150</u>	<u>—</u>	<u>55,158</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of State – A.I.D.					
Direct Awards					
Other Federal Assistance		\$ 105,120	\$ —	\$ —	\$ 105,120
Agency Totals		<u>105,120</u>	<u>—</u>	<u>—</u>	<u>105,120</u>
U. S. Department of Transportation					
Direct Awards					
Other Federal Assistance		292,306	—	—	292,306
Air Transportation Centers of Excellence	20.109	13,817	—	—	13,817
Highway Training and Education	20.215	—	6,600	—	6,600
Railroad Safety	20.301	41,829	243,044	—	284,873
Federal Transit Grants for University Research and	20.502	31,121	—	—	31,121
Federal Transit Formula Grants	20.507	—	326,372	—	326,372
Department of Transportation	20.516	—	207,473	—	207,473
National Pipeline Mapping System	20.714	55,684	—	—	55,684
Transportation Statistics Research Grants	20.920	—	69,126	—	69,126
Indirect Awards					
State and Community Highway Safety	20.600	542	73,444	—	73,986
Pass-Through from State of Illinois					
Highway Planning and Construction	20.205	—	130,316	—	130,316
State and Community Highway Safety	20.600	—	10,057	—	10,057
Agency Totals		<u>435,299</u>	<u>1,066,432</u>	<u>—</u>	<u>1,501,731</u>
U. S. Department of Transportation – Federal Aviation Administration					
Direct Awards					
Other Federal Assistance		45,991	—	—	45,991
Aviation Research Grants	20.108	718,470	—	—	718,470
Air Transportation Centers of Excellence	20.109	701,968	—	—	701,968
Agency Totals		<u>1,466,429</u>	<u>—</u>	<u>—</u>	<u>1,466,429</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Transportation-Federal Railroad Administration					
Direct Awards					
Railroad Safety	20.301	\$ <u>113,815</u>	\$ <u>—</u>	\$ <u>—</u>	\$ <u>113,815</u>
Agency Totals		<u>113,815</u>	<u>—</u>	<u>—</u>	<u>113,815</u>
U. S. Department of Transportation-Federal Highway Administration					
Direct Awards					
Other Federal Assistance		88,009	—	—	88,009
Pass-Through from State of Illinois					
Highway Planning and Construction	20.205	1,505,060	—	—	1,505,060
Federal Transit Technical Studies Grants	20.505	<u>24,134</u>	<u>(7)</u>	<u>—</u>	<u>24,127</u>
Agency Totals		<u>1,617,203</u>	<u>(7)</u>	<u>—</u>	<u>1,617,196</u>
U. S. Department of Defense					
Direct Awards					
Other Federal Assistance		721,545	19,787	—	741,332
Collaborative Research and Development	12.114	—	74,526	—	74,526
Military Medical Research and Development	12.420	—	188,463	—	188,463
Basic and Applied Scientific Research	12.431	—	645,415	—	645,415
Basic Applied and Advanced Research in Science and Engineering	12.630	—	154,613	—	154,613
Research and Technology Development	12.910	<u>—</u>	<u>131,399</u>	<u>—</u>	<u>131,399</u>
Agency Totals		<u>721,545</u>	<u>1,214,203</u>	<u>—</u>	<u>1,935,748</u>
U. S. Department of Defense – Air Force					
Direct Awards					
Other Federal Assistance		4,858,815	—	—	4,858,815
Basic Applied and Advanced Research In Science and Engineering	12.630	432,121	357,835	—	789,956

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Basic and Applied Scientific Research	12.800	\$ 2,991,967	\$ 240,198	\$ —	\$ 3,232,165
Research and Technology Development	12.910	3,265,166	209,540	—	3,474,706
Anticipated Federal Assistance	ANTIC	<u>244,168</u>	<u>—</u>	<u>—</u>	<u>244,168</u>
Agency Totals		<u>11,792,237</u>	<u>807,573</u>	<u>—</u>	<u>12,599,810</u>
U. S. Department of Defense – Army					
Direct Awards					
Other Federal Assistance		5,819,410	403,384	—	6,222,794
Collaborative Research and Development	12.114	58,604	101,791	—	160,395
Military Medical Research and Development	12.420	100,731	1,143,501	—	1,244,232
Basic and Applied Scientific Research	12.431	6,043,314	518,092	—	6,561,406
Research and Technology Development	12.910	1,796,374	—	—	1,796,374
Anticipated Federal Assistance	ANTIC	(137)	—	—	(137)
Indirect Awards					
Carnegie Mell 542997*		<u>2,603</u>	<u>—</u>	<u>—</u>	<u>2,603</u>
Agency Totals		<u>13,820,899</u>	<u>2,166,768</u>	<u>—</u>	<u>15,987,667</u>
U. S. Department of Defense – Supply					
Direct Awards					
Other Federal Assistance		<u>(11,564)</u>	<u>—</u>	<u>—</u>	<u>(11,564)</u>
Agency Totals		<u>(11,564)</u>	<u>—</u>	<u>—</u>	<u>(11,564)</u>
U. S. Department of Defense – Miscellaneous					
Direct Awards					
Anticipated Federal Assistance	ANTIC	<u>3,441</u>	<u>—</u>	<u>—</u>	<u>3,441</u>
Agency Totals		<u>3,441</u>	<u>—</u>	<u>—</u>	<u>3,441</u>
U. S. Department of Defense – National Security Agency					
Direct Awards					
Mathematical Sciences Grants Program	12.901	51,776	70,497	—	122,273
Research and Technology Development	12.910	<u>36,913</u>	<u>—</u>	<u>—</u>	<u>36,913</u>
Agency Totals		<u>88,689</u>	<u>70,497</u>	<u>—</u>	<u>159,186</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Defense – Navy					
Direct Awards					
Other Federal Assistance		\$ 326,761	\$ 40,256	\$ —	\$ 367,017
Basic and Applied Scientific Research	12.300	8,288,509	682,926	—	8,971,435
Basic Applied and Advanced Research in Science and Engineering	12.630	235,988	—	—	235,988
Research and Technology Development	12.910	283,172	—	—	283,172
Air Pollution Control Program Support	66.001	—	(149)	—	(149)
Anticipated Federal Assistance	ANTIC	<u>22,400</u>	<u>—</u>	<u>—</u>	<u>22,400</u>
Agency Totals		<u>9,156,830</u>	<u>723,033</u>	<u>—</u>	<u>9,879,863</u>
U. S. Department of Education					
Direct Awards					
Other Federal Assistance		259,766	37,358	—	297,124
National Resource Centers & Fellowships in International Studies	84.015	382,573	—	—	382,573
Handicapped – Innovation and Development	84.023	29,723	127,700	—	157,423
Handicapped – Early Childhood Education	84.024	409,110	—	—	409,110
College Work Study Program	84.033	44,880	7,622	—	52,502
Vocational Education Research	84.051	350,180	—	—	350,180
Fund for the Improvement of Postsecondary Education	84.116	—	128,689	—	128,689
Rehabilitation Training	84.129	—	5,058	—	5,058
National Institute on Disability and Rehabilitation	84.133	—	2,731,225	—	2,731,225
National Program for Drug Free Schools and	84.184	—	91,136	—	91,136
Bilingual Education Training Grants	84.195	—	84,392	—	84,392
Secretary's Fund for Innovation in Education	84.215	—	76,090	—	76,090
Ronald E. McNair Post-Baccalaureate Achievement	84.217	9,122	—	—	9,122
Technology Related Assistance to Individuals	84.224	—	87,633	—	87,633

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Special Projects and Demonstrations for Providing Vocational Assistance	84.235	\$ —	\$ 409,268	\$ —	\$ 409,268
Rehabilitation Continuing Education Programs	84.264	266	—	—	266
Research Center on Student Learning & Achievement	84.305	380,319	465,181	—	845,500
Research Center on Postsecondary Education and Work	84.309	—	51,747	—	51,747
Goals 2000: Parental Information Resource Centers	84.310	—	100,223	—	100,223
Special Education Research & Innovation to Improve Special Education-Personnel Preparation to Improve Service and Results	84.324	194,806	15,694	—	210,500
84.325	84.325	92,952	—	—	92,952
Preparing Tomorrow's Teachers to Use Technology	84.342	1,374,310	9,091	—	1,383,401
Occupational Safety and Health Training Grants	93.263	67,691	—	—	67,691
Indirect Awards					
National Program for Drug Free Schools	84.184	—	167,896	—	167,896
Pass-Through from State of Illinois					
State Library Program	45.310	66,715	—	—	66,715
Adult Education State Administered Program	84.002	(156)	—	—	(156)
Agency Totals		<u>3,662,257</u>	<u>4,596,003</u>	<u>—</u>	<u>8,258,260</u>
U. S. Environmental Protection Agency					
Direct Awards					
Other Federal Assistance		103,504	59,270	—	162,774
Air Pollution Control Program Support	66.001	—	39,233	—	39,233
Air Pollution Control	66.006	—	140,549	—	140,549
Air Information Center	66.009	—	27,868	—	27,868
National Estuary Program	66.456	227	—	—	227
Environmental Protection – Consolidated Research Grants	66.500	779,612	—	—	779,612
Air Pollution Control Research Grants	66.501	(251)	—	—	(251)
Special Purpose	66.606	514,567	—	—	514,567

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Training and Fellowships for the Environmental Protection Agency	66.607	\$ —	\$ 99,225	\$ —	\$ 99,225
Aging Research	93.866	15,600	—	—	15,600
Pass-Through from State of Illinois					
State Indoor Radon Grants	66.032	—	2,164	—	2,164
Water Pollution Controls – State and Interstate Program	66.419	11,058	—	—	11,058
Nonpoint Source Implementation	66.460	127,233	—	—	127,233
Wetlands Protection – State Development Grants	66.461	81,716	—	—	81,716
National Pollutant Discharge Elimination System	66.463	659	—	—	659
Capitalization Grants for Drinking Water State Revolving Fund	66.468	32,048	—	—	32,048
Performance Partnership	66.605	(1,182)	—	—	(1,182)
Agency Totals		<u>1,664,791</u>	<u>368,309</u>	<u>—</u>	<u>2,033,100</u>
U. S. Department of Health and Human Services					
Direct Awards					
Other Federal Assistance		6,136	335,171	—	341,307
Human Immunodeficiency Virus/Services Planning Program	93.168	—	390,188	—	390,188
Biological Research Related to Deafness & Communicative Disorders	93.173	20,241	—	—	20,241
Policy Research and Evaluation	93.239	13,225	—	—	13,225
Biophysics and Physiological Sciences	93.821	(32,423)	—	—	(32,423)
Heart and Vascular Diseases Research	93.837	166,238	—	—	166,238
Research for Mothers and Children	93.865	—	38,616	—	38,616
Grants to Provide Outpatient Early Intervention Services	93.918	—	300,467	—	300,467
Health Services to Residents of Public Housing	93.927	—	568,761	—	568,761
Pass-Through from State of Illinois					
Policy Research and Evaluation	93.239	68,590	—	—	68,590

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Temporary Assistance for Needy Families	93.558	\$ —	\$ 88,762	\$ —	\$ 88,762
Foster Care Title IV E	93.658	67,587	4,317	—	71,904
Independent Living	93.674	2,413	—	—	2,413
Block Grants for Prevention and Treatment of Substance Abuse	93.959	40	—	—	40
Agency Totals		<u>312,047</u>	<u>1,726,282</u>	<u>—</u>	<u>2,038,329</u>
U. S. Department of Health and Human Services – ACPR					
Direct Awards					
Research on Health Care Costs, Quality, and Outcomes	93.226	—	1,137,144	—	1,137,144
Agency Totals		<u>—</u>	<u>1,137,144</u>	<u>—</u>	<u>1,137,144</u>
U. S. Department of Health and Human Services – Administration on Aging					
Direct Awards					
Special Programs for the Aging – Title III, Part F-Preventive	93.043	—	895	—	895
Pass-Through from State of Illinois					
Nation Family Caregiver Support Program	93.052	—	40,609	—	40,609
Agency Totals		<u>—</u>	<u>41,504</u>	<u>—</u>	<u>41,504</u>
U. S. Department of Health and Human Services – Toxic Substance and Disease					
Direct Awards					
Health Programs for Toxic Substance and Disease	93.161	—	10,376	—	10,376
Agency Totals		<u>—</u>	<u>10,376</u>	<u>—</u>	<u>10,376</u>
U. S. Department of Health and Human Services – Centers for Disease Control					
Direct Awards					
Other Federal Assistance		—	172,854	—	172,854

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Technical and Non-financial Assistance to Health Centers and	93.129	\$ —	\$ 19,721	\$ —	\$ 19,721
Centers for Research and Demonstration for Health Promotion and Disease	93.135	—	1,692,524	—	1,692,524
Injury Control – Research and Development Projects and Prevention Research	93.136	—	879,490	—	879,490
Health Programs for Toxic Substance and Disease	93.161	31,038	—	—	31,038
Disabilities Prevention	93.184	—	944,424	—	944,424
Occupational Safety and Health Training Grants	93.263	—	17,277	—	17,277
Centers for Disease Control-Investigations and Technical	93.283	—	722,647	—	722,647
HIV Demonstration, Research, Public and Professional Research, Treatment and Education Programs on Lyme Disease	93.941	—	1,721,838	—	1,721,838
Epidemiological Research Studies of Aids and HIV	93.942	229,757	—	—	229,757
Assistance Program for Chronic Disease Prevention	93.943	—	341,436	—	341,436
Assistance Program for Chronic Disease Prevention	93.945	—	449,826	—	449,826
Pass-Through from State of Illinois					
Block Grants for Prevention and Treatment of Substance Abuse		497	—	—	497
Centers for Disease Control – Investigations and	93.283	—	30,000	—	30,000
HIV Prevention Activities — Health Department Based	93.940	—	4,930	—	4,930
Assistance Program for Chronic Disease Prevention and Agency Totals	93.945	—	17,377	—	17,377
		<u>261,292</u>	<u>7,014,344</u>	<u>—</u>	<u>7,275,636</u>
U. S. Department of Health and Human Services – Health Care Finance Administration					
Pass-Through from State of Illinois					
State Survey and Certification of Health Care Providers	93.777	—	24	—	24
Agency Totals		<u>—</u>	<u>24</u>	<u>—</u>	<u>24</u>

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U.S. Department of Health and Human Services – Health Resources and Services Administration					
Direct Award					
Anticipated Federal Assistance	ANTIC	\$ —	\$ 170	\$ —	\$ 170
Direct Awards					
Other Federal Assistance		—	(25,464)	—	(25,464)
Maternal and Child Health – Federal Consolidated Technical and Non-financial Assistance to Health Centers and	93.110	—	237,421	—	237,421
Aids Education and Training Centers	93.129	—	23,962	—	23,962
Public Health Training Centers	93.145	—	2,003,717	—	2,003,717
Allied Health Projects	93.188	—	(28,279)	—	(28,279)
Centers for Medical Education Research	93.191	—	119,913	—	119,913
Grants for Dental Public Health Residency Training	93.222	—	333,138	—	333,138
National Health Service Corps	93.236	—	23,922	—	23,922
Basic Nurse Education and Practice Grants	93.258	—	35,850	—	35,850
Health Careers Opportunity Program	93.359	—	260,298	—	260,298
Grants for Faculty Development in Family Medicine	93.822	—	703,773	—	703,773
Predoctoral Training in Primary Care (Family Medicine,	93.895	—	92,958	—	92,958
Grants to Provide Outpatient Early Intervention Services	93.896	—	179,401	—	179,401
Health Start Initiative	93.918	—	220,471	—	220,471
Health Start Initiative	93.926	—	(156)	—	(156)
Indirect Awards					
Health Start Initiative	93.926	—	45,911	—	45,911
Pass-Through from State of Illinois					
Public Health Training Centers Grant Program	93.249	—	106,795	—	106,795
HIV Care Formula Grants	93.917	—	42,660	—	42,660
Agency Totals		—	<u>4,376,461</u>	—	<u>4,376,461</u>

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U. S. Department of Health and Human Services – Office of Human Development					
Direct Awards					
Family Violence Prevention and Services/Grants for Battered Women	93.592	\$ —	\$ (622)	\$ —	\$ (622)
Administration on Developmental Disabilities – Projects	93.631	—	30,274	—	30,274
Administration on Developmental Disabilities – University	93.632	—	449,908	—	449,908
Administration for Children, Youths and Families – Child Abuse	93.670	—	146,231	—	146,231
Indirect Awards					
Administration for Children, Youth and Families – Head	93.600	—	11,599	—	11,599
Administration on Developmental Disabilities	93.630	—	(649)	—	(649)
Pass-Through from State of Illinois					
Abandoned Infants	93.551	—	18,969	—	18,969
Administration on Developmental Disabilities – Basic	93.630	—	13,623	—	13,623
Foster Care Title IV E	93.658	—	(1,091)	—	(1,091)
Agency Totals		<u>—</u>	<u>668,242</u>	<u>—</u>	<u>668,242</u>
U. S. Department of Health and Human Services – Public Health Service					
Direct Award					
Anticipated Federal Assistance	ANTIC	—	33,413	—	33,413
Direct Awards					
Other Federal Assistance		880,222	3,457,631	—	4,337,853
National Institute on Disability and Rehabilitation Research	84.133	—	34,808	—	34,808
Minority Inter Research Training Grant – Biomed & Behavioral	93.106	—	125,844	—	125,844

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Maternal and Child Health – Federal Consolidated Programs	93.110	\$ —	\$ 11,313	\$ —	\$ 11,313
Biological Response to Environmental Health Hazards	93.113	—	649,430	—	649,430
Applied Toxicological Research and Testing	93.114	—	267,780	—	267,780
Biometry and Risk Estimation – Health Risks from Diseases of the Teeth and Supporting Tissues	93.115	264,570	44,048	—	308,618
Mental Health Planning and Demonstration Projects	93.121	467,323	1,491,137	—	1,958,460
Superfund Hazardous Substance – Basic Research and Health Programs for Toxic Substance and Disease Registry	93.125	—	65,578	—	65,578
	93.143	—	556	—	556
Human Immunodeficiency Virus/Services Planning Program	93.161	—	75,148	—	75,148
Human Genome Research	93.168	—	390,829	—	390,829
Biological Research Related to Deafness & Communicative Disorders	93.172	—	215,124	—	215,124
Great Lakes Human Effects Research	93.173	1,048,116	713,849	—	1,761,965
Research and Training in Alternative Medicine	93.208	109,704	—	—	109,704
Research on Health Care Costs, Quality, and Outcomes	93.213	68,217	2,053,292	—	2,121,509
Indian Health Services – Health Management Development	93.226	—	78,629	—	78,629
Consolidate Knowledge Development and Application Program	93.228	—	2,517	—	2,517
Mental Health Research Grants	93.230	—	361,533	—	361,533
Occupational Safety and Health Research Grants	93.242	3,072,262	7,003,638	—	10,075,900
Occupational Safety and Health – Training Grants	93.262	42,569	79,784	—	122,353
Alcohol Scientist Development Award and Research Scientist	93.263	—	49,461	—	49,461
Alcohol Research Programs	93.271	—	93,515	—	93,515
	93.273	272,487	1,290,154	—	1,562,641

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Drug Abuse Scientist Development Award and Research	93.277	\$ —	\$ 289,946	\$ —	\$ 289,946
Drug Abuse National Research Service Awards for Research	93.278	—	153,089	—	153,089
Drug Abuse Research Programs	93.279	113,245	3,991,301	—	4,104,546
Mental Health Research Scientist Development and Research	93.281	105,340	525,329	—	630,669
Mental Health National Research Service Awards for Centers for Disease Control-Investigations and Technical	93.282	63,322	390,605	—	453,927
Biomedical Imaging Research	93.283	209,096	93,494	—	302,590
Generic Clinical Research Centers	93.286	14,713	—	—	14,713
Nursing Research	93.333	—	2,684,948	—	2,684,948
Biomedical Research Technology	93.361	165,182	3,968,103	—	4,133,285
Minority Biomedical Research Support	93.371	2,803,232	669,984	—	3,473,216
Research Centers in Minority Institutions	93.375	—	11,855	—	11,855
Cancer Cause and Prevention Research	93.389	—	36,342	—	36,342
Cancer Detection and Diagnosis Research	93.393	160,848	2,645,140	—	2,805,988
Cancer Treatment Research	93.394	461,442	713,718	—	1,175,160
Cancer Biology Research	93.395	595,735	3,917,559	—	4,513,294
Cancer Research Manpower	93.396	860,275	3,327,400	—	4,187,675
Cancer Control	93.398	(8,622)	417,078	—	408,456
Community Services Block Grant	93.399	57,199	4,231,317	—	4,288,516
Community Schools Youth Services and Supervision Program	93.569	103,260	—	—	103,260
Administration for Children, Youth and Families – Head of	93.588	—	22,773	—	22,773
Administration on Developmental Disabilities-Projects of	93.600	888,677	—	—	888,677
Child Welfare Services Training Grants	93.631	—	27,507	—	27,507
	93.648	115,399	—	—	115,399

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Biophysics and Physiological Sciences	93.821	\$ 3,931,183	\$ 2,166,602	\$ —	\$ 6,097,785
Health Careers Opportunity Program	93.822	29,124	—	—	29,124
Basic/Core Area Health Education Centers	93.824	4,321	—	—	4,321
Heart and Vascular Diseases Research	93.837	812,307	7,103,988	—	7,916,295
Lung Diseases Research	93.838	900,393	2,655,270	—	3,555,663
Blood Diseases and Resources Research	93.839	778,967	4,553,731	—	5,332,698
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	783,374	1,652,076	—	2,435,450
Diabetes, Endocrinology and Metabolism Research	93.847	1,053,963	1,574,513	—	2,628,476
Digestive Diseases and Nutrition Research	93.848	375,638	2,882,429	—	3,258,067
Kidney Diseases, Urology and Hematology Research	93.849	175,038	508,778	—	683,816
Extramural Research Programs in the Neurosciences	93.853	1,101,357	711,424	—	1,812,781
Biological Basis Research in the Neurosciences	93.854	1,371,381	2,988,568	—	4,359,949
Allergy, Immunology and Transplantation Research	93.855	349,760	1,485,991	—	1,835,751
Microbiology and Infectious Diseases Research	93.856	2,531,117	3,987,087	—	6,518,204
Pharmacological Sciences	93.859	6,883,675	3,019,757	—	9,903,432
Genetics and Development Biology Research and Research	93.862	1,245,084	2,927,899	—	4,172,983
Population Research	93.864	1,182,141	2,309,189	—	3,491,330
Research for Mothers and Children	93.865	692,414	1,576,103	—	2,268,517
Aging Research	93.866	2,304,095	2,830,761	—	5,134,856
Retinal and Choroidal Diseases Research	93.867	470,469	2,402,612	—	2,873,081
Medical Library Assistance	93.879	—	606,367	—	606,367
Minority Access to Research Careers	93.880	—	28,185	—	28,185
Resources and Manpower Development – Environmental	93.894	20,287	—	—	20,287
Community Coalition Intervention Demo Projects to	93.910	—	17,937	—	17,937
Center for Medical Rehabilitation Research	93.929	—	443,143	—	443,143
Fogarty International Research Collaboration Award	93.934	56,618	90,341	—	146,959

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Research, Treatment and Education Programs on Lyme Disease	93.942	\$ (31,952)	\$ —	\$ —	\$ (31,952)
Senior International Awards Program	93.989	91,737	474,099	—	565,836
Adolescent Family Life Demonstration Projects	93.995	—	2,505	—	2,505
Anticipated Federal Assistance	ANTIC	10,492	—	—	10,492
Indirect Awards					
Cancer Detection and Diagnosis Research	93.394	—	270,870	—	270,870
Microbiology and Infectious Diseases Research	93.856	—	170,814	—	170,814
HIV Emergency Relief Project Grants	93.914	—	2,915	—	2,915
Pass-Through from State of Illinois					
Mental Health Planning and Demonstration Projects	93.125	—	1,162	—	1,162
Health Programs for Toxic Substance and Disease Registry	93.161	—	143,833	—	143,833
Community Partnership Demonstration Grant	93.194	—	5,017	—	5,017
Family Violence Prevention and Service	93.671	—	269,295	—	269,295
HIV Care Formula Grants	93.917	—	(4,441)	—	(4,441)
HIV Prevention Activities – Health Department Based	93.940	—	13,509	—	13,509
HIV/AIDS Surveillance	93.944	—	575	—	575
Assistance Program for Chronic Disease Prevention and	93.945	—	5,559	—	5,559
Block Grants for Prevention and Treatment of Substance	93.959	—	28,900	—	28,900
Agency Totals		<u>40,056,796</u>	<u>96,613,862</u>	<u>—</u>	<u>136,670,658</u>
U. S. Department of Health and Human Services – Substance Abuse					
Direct Awards					
Other Federal Assistance		—	53,046	—	53,046
Consolidate Knowledge Development and Application Program	93.230	—	1,238,495	—	1,238,495

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Pass-Through from State of Illinois					
Block Grants for Prevention and Treatment of Substance Abuse					
	93.959	\$ —	\$ 336,799	\$ —	\$ 336,799
		<u>—</u>	<u>1,628,340</u>	<u>—</u>	<u>1,628,340</u>
U. S. Department of Housing and Urban Development					
Direct Awards					
Other Federal Assistance		382,762	—	—	382,762
Community Development Block Grants/Small Cities	14.219	—	(1,988)	—	(1,988)
Community Outreach Partnership Center Program	14.511	448	—	—	448
Early Doctoral Student Research Grants	14.517	—	3,000	—	3,000
Pass-Through from State of Illinois					
Lead Abatement Grant	14.900	16,587	54,177	—	70,764
Agency Totals		<u>399,797</u>	<u>55,189</u>	<u>—</u>	<u>454,986</u>
U. S. Department of Interior					
Direct Awards					
Other Federal Assistance		150,625	—	—	150,625
Coastal Wetlands Planning, Protection and Restoration Act					
	15.614	678	—	—	678
Cooperative Endangered Species Conservation Fund	15.615	—	4,547	—	4,547
Wildlife Conservation and Appreciation	15.617	2,458	—	—	2,458
Geological Survey – Research and Data Acquisition	15.808	33,768	18,386	—	52,154
Pass-Through from State of Illinois					
Regulation of Surface Coal Mining and Surface Effects	15.250	40,280	—	—	40,280
National Center for Preservation Technology & Training	15.923	2,034	—	—	2,034
Agency Totals		<u>229,843</u>	<u>22,933</u>	<u>—</u>	<u>252,776</u>

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U. S. Department of Interior – Bureau Reclamation					
Direct Awards					
Water Resources Research	15.BBN	\$ 8,841	\$ —	\$ —	\$ 8,841
Agency Totals		<u>8,841</u>	<u>—</u>	<u>—</u>	<u>8,841</u>
U. S. Department of Interior – Fish & Wildlife					
Direct Awards					
Other Federal Assistance		24,285	—	—	24,285
Fish and Wildlife Management Assistance	15.608	30	—	—	30
Wildlife Restoration	15.611	25	—	—	25
Coastal Wetlands Planning, Protection and Restoration Act	15.614	(1,150)	—	—	(1,150)
Wildlife Conservation and Appreciation	15.617	33,245	—	—	33,245
Upper Mississippi River System Long Term Resource	15.978	10,640	—	—	10,640
Indirect Awards					
Fish and Wildlife Enhancement	15.FFB	8,727	—	—	8,727
Pass-Through from State of Illinois					
Sport Fish Restoration	15.605	690,462	—	—	690,462
Wildlife Restoration	15.611	159,349	—	—	159,349
Cooperative Endangered Species Conservation Fund	15.615	<u>3,823</u>	<u>—</u>	<u>—</u>	<u>3,823</u>
Agency Totals		<u>929,436</u>	<u>—</u>	<u>—</u>	<u>929,436</u>
U. S. Department of Interior-Geological Survey					
Direct Awards					
Other Federal Assistance		77,860	—	—	77,860
Fish and Wildlife Management Assistance	15.608	108,059	—	—	108,059
Assistance to State Water Resources Research Institutes	15.805	168,988	—	—	168,988
Earthquake Hazards Reduction Program	15.807	17,255	—	—	17,255
Geological Survey – Research and Data Acquisition	15.808	243,470	24,665	—	268,135
National Cooperative Geologic Mapping Program	15.810	160,811	—	—	160,811

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Upper Mississippi River System Long Term Resource	15.978	\$ 773,680	\$ —	\$ —	\$ 773,680
Agency Totals		<u>1,550,123</u>	<u>24,665</u>	<u>—</u>	<u>1,574,788</u>
U. S. Department of Justice					
Direct Awards					
Justice Research and Development Project Grants	16.560	—	453,920	—	453,920
State and Local Narcotics Control Assistance	16.579	—	9,921	—	9,921
Public Safety and Community Policing Grants	16.710	—	363,073	—	363,073
Indirect Awards					
Justice Research and Development Project Grants	16.560	—	(227)	—	(227)
Public Safety and Community Policing Grants	16.710	—	114,152	—	114,152
Reduction and Prevention of Children's Exposure to Violence	16.730	—	32,169	—	32,169
Pass-Through from State of Illinois					
Crime Victim Assistance	16.575	—	11,780	—	11,780
Public Safety and Community Policing Grants	16.710	—	18,499	—	18,499
Agency Totals		<u>—</u>	<u>1,003,287</u>	<u>—</u>	<u>1,003,287</u>
U. S. Department of Labor					
Direct Awards					
Other Federal Assistance		<u>4</u>	<u>—</u>	<u>—</u>	<u>4</u>
Agency Totals		<u>4</u>	<u>—</u>	<u>—</u>	<u>4</u>
Miscellaneous U. S. Departments					
Direct Awards					
Other Federal Assistance		122,770	—	—	122,770
Promotion of the Arts Leadership Initiatives	45.026	—	5,569	—	5,569
Institute of Museum and Library Services	45.312	109,273	—	—	109,273
Social Behavioral and Economic Sciences	47.075	16,547	—	—	16,547
First Responder Anti-Terrorism Training Assistance	83.547	2,277	—	—	2,277
Social Security – Research and Demonstration Grants	96.007	1,955,705	7,160	—	1,962,865

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Anticipated Federal Assistance	ANTIC	\$ —	\$ 19,409	\$ —	\$ 19,409
Pass-Through from State of Illinois					
Workforce Investment Act	17.255	—	2,884	—	2,884
State Library Program	45.310	99,590	—	—	99,590
Agency Totals		<u>2,306,162</u>	<u>35,022</u>	<u>—</u>	<u>2,341,184</u>
U. S. Housing and Urban Development – Miscellaneous					
Direct Awards					
Anticipated Federal Assistance	ANTIC	269	—	—	269
Agency Totals		<u>269</u>	<u>—</u>	<u>—</u>	<u>269</u>
Miscellaneous U. S. Departments					
Direct Awards					
Anticipated Federal Assistance	ANTIC	2,142	—	—	2,142
Agency Totals		<u>2,142</u>	<u>—</u>	<u>—</u>	<u>2,142</u>
U. S. National Aeronautics and Space Administration					
Direct Awards					
Other Federal Assistance		2,246,518	30,111	—	2,276,629
Research and Technology Development	12.910	—	58,075	—	58,075
Aerospace Educational Services Program	43.001	—	230,469	—	230,469
Technology Transfer	43.002	—	594,707	—	594,707
Agency Totals		<u>2,246,518</u>	<u>913,362</u>	<u>—</u>	<u>3,159,880</u>
U. S. National Aeronautics and Space Administration – @					
Ames					
Direct Awards					
NASA NAG 2-1120*		100,863	—	—	100,863
NASA NAG 2-1282*		43,102	—	—	43,102
NASA NAG 2-1406*		110,931	—	—	110,931
NASA NAG 2-1424*		180,607	—	—	180,607
NASA NCC 2-1214*		50,102	—	—	50,102

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NASA NCC 2-1230*		\$ 103,693	\$ —	\$ —	\$ 103,693
Other Awards		<u>12,968</u>	<u>—</u>	<u>—</u>	<u>12,968</u>
Agency Totals		<u>602,266</u>	<u>—</u>	<u>—</u>	<u>602,266</u>
U. S. National Aeronautics and Space Administration – @					
George C. Marshall					
Direct Awards					
NASA NAG 8-1249*		3,904	—	—	3,904
NASA NAG 8-1453*		60,327	—	—	60,327
NASA NAG 8-1471*		63,196	—	—	63,196
NASA NAG 8-1657*		53,283	—	—	53,283
NASA NAG 8-1705*		20,377	—	—	20,377
NASA NAG 8-1751*		145,650	—	—	145,650
NASA NAG 8-1760*		89,254	—	—	89,254
NASA NAG 8-1249*		9,817	—	—	9,817
Other Awards		<u>23,246</u>	<u>—</u>	<u>—</u>	<u>23,246</u>
Agency Totals		<u>469,054</u>	<u>—</u>	<u>—</u>	<u>469,054</u>
U. S. National Aeronautics and Space Administration – @					
Goddard					
Direct Awards					
NASA NAG 1-01-026*		50,894	—	—	50,894
NASA NAG 5-10011*		21,194	—	—	21,194
NASA NAG 5-10037*		26,502	—	—	26,502
NASA NAG 5-10042*		20,752	—	—	20,752
NASA NAG 5-10072*		60,255	—	—	60,255
NASA NAG 5-10182*		28,877	—	—	28,877
NASA NAG 5-10303*		25,793	—	—	25,793
NASA NAG 5-10491*		28,852	—	—	28,852
NASA NAG 5-10781*		19,219	—	—	19,219

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NASA NAG 5-10942*		\$ 87,868	\$ —	\$ —	\$ 87,868
NASA NAG 5-11011*		24,660	—	—	24,660
NASA NAG 5-11016*		25,848	—	—	25,848
NASA NAG 5-2040*		310,683	—	—	310,683
NASA NAG 5-5241*		40,583	—	—	40,583
NASA NAG 5-5241 OFF*		1,323	—	—	1,323
NASA NAG 5-8104*		4,391	—	—	4,391
NASA NAG 5-8418*		83,555	—	—	83,555
NASA NAG 5-8424*		107,483	—	—	107,483
NASA NAG 5-8479*		99,446	—	—	99,446
NASA NAG 5-8521*		14	—	—	14
NASA NAG 5-8555*		80,639	—	—	80,639
NASA NAG 5-8569*		4,560	—	—	4,560
NASA NAG 5-8740*		12,825	—	—	12,825
NASA NAG 5-8781*		93,908	—	—	93,908
NASA NAG 5-8871*		15,876	—	—	15,876
NASA NAG 5-9180*		12,779	—	—	12,779
NASA NCC 5-615*		97,508	—	—	97,508
NASA NGT 5-40073*		395,887	—	—	395,887
Other Awards		<u>60,976</u>	<u>—</u>	<u>—</u>	<u>60,976</u>
Agency Totals		<u>1,843,150</u>	<u>—</u>	<u>—</u>	<u>1,843,150</u>
U. S. National Aeronautics and Space Administration -- @					
Langley					
Direct Awards					
NASA NAG 1-01114*		8,847	—	—	8,847
NASA NAG 1-01035*		55,663	—	—	55,663
NASA NAG 1-2221*		98,563	—	—	98,563
Other Awards		33,525	—	—	33,525

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Technology Transfer	43.002	\$ —	\$ 168,380	\$ —	\$ 168,380
Agency Totals		<u>196,598</u>	<u>168,380</u>	<u>—</u>	<u>364,978</u>
U. S. National Aeronautics and Space Administration – @ Lewis					
Direct Awards					
NASA NAG 3-1926 MRL*		(665)	—	—	(665)
NASA NAG 3-2122*		39,309	—	—	39,309
NASA NAG 3-2135*		321,402	—	—	321,402
NASA NAG 3-2135 CSL*		87,151	—	—	87,151
NASA NAG 3-2314*		45,932	—	—	45,932
NASA NAG 3-2516*		60,833	—	—	60,833
NASA NAG 3-2555*		119,229	—	—	119,229
NASA NAG 3-2599*		9,648	—	—	9,648
NASA NAG 3-2623*		86,329	—	—	86,329
NASA NCC 3-852*		<u>141,213</u>	<u>—</u>	<u>—</u>	<u>141,213</u>
Agency Totals		<u>910,381</u>	<u>—</u>	<u>—</u>	<u>910,381</u>
U. S. National Aeronautics and Space Administration – @ Washington					
Direct Awards					
NASA NCC 5-615*		27	—	—	27
Technology Transfer	43.002	<u>—</u>	<u>60,113</u>	<u>—</u>	<u>60,113</u>
Agency Totals		<u>27</u>	<u>60,113</u>	<u>—</u>	<u>60,140</u>
U. S. National Science Foundation					
Direct Awards					
Engineering Grants	47.041	12,927	—	—	12,927
Mathematical and Physical Sciences	47.049	(16,247)	—	—	(16,247)
Computer and Information Science and Engineering (CISE)	47.070	60,345	—	—	60,345

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Biological Sciences	47.074	\$ 110,381	\$ —	\$ —	\$ 110,381
Education and Human Resources	47.076	163,333	—	—	163,333
Agency Totals		<u>330,739</u>	<u>—</u>	<u>—</u>	<u>330,739</u>
U. S. National Science Foundation					
Direct Award					
Anticipated Federal Assistance	ANTIC	—	14,990	—	14,990
Direct Awards					
Other Federal Assistance		19,038	129,755	—	148,793
Engineering Grants	47.041	10,615,306	2,469,916	—	13,085,222
Mathematical and Physical Sciences	47.049	13,188,230	3,443,621	—	16,631,851
Geosciences	47.050	3,085,373	252,128	—	3,337,501
Computer and Information Science and Engineering	47.070	45,985,865	6,253,240	—	52,239,105
Science and Technology Centers	47.073	(40,686)	—	—	(40,686)
Biological Sciences	47.074	5,368,201	615,754	—	5,983,955
Social Behavioral and Economic Sciences	47.075	1,452,017	382,978	—	1,834,995
Education and Human Resources	47.076	1,272,160	2,007,060	—	3,279,220
Polar Programs	47.078	483,692	29,569	—	513,261
Anticipated Federal Assistance	ANTIC	202,364	—	—	202,364
Indirect Awards					
Mathematical and Physical Sciences	47.049	—	1,071	—	1,071
Agency Totals		<u>81,631,560</u>	<u>15,600,082</u>	<u>—</u>	<u>97,231,642</u>
U. S. National Science Foundation - SUBS					
Direct Awards					
Other Federal Assistance		2,342	—	—	2,342
Engineering Grants	47.041	494,381	—	—	494,381
Mathematical and Physical Sciences	47.049	780,099	—	—	780,099
Geosciences	47.050	86,771	—	—	86,771
Computer and Information Science and Engineering	47.070	858,850	—	—	858,850

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Biological Sciences	47.074	\$ 188,223	\$ —	\$ —	\$ 188,223
Social Behavioral and Economic Sciences	47.075	123,705	—	—	123,705
Education and Human Resources	47.076	230,556	—	—	230,556
Anticipated Federal Assistance	ANTIC	<u>94,497</u>	<u>—</u>	<u>—</u>	<u>94,497</u>
Agency Totals		<u>2,859,424</u>	<u>—</u>	<u>—</u>	<u>2,859,424</u>
U. S. National Endowment for the Humanities					
Direct Awards					
Promotion of the Humanities – Research	45.161	—	104,951	—	104,951
Promotion of the Humanities Seminars and Institutes	45.163	<u>—</u>	<u>34,831</u>	<u>—</u>	<u>34,831</u>
Agency Totals		<u>—</u>	<u>139,782</u>	<u>—</u>	<u>139,782</u>
U. S. Veterans Administration					
Direct Awards					
Other Federal Assistance		1,017	1,688,841	—	1,689,858
Aging Research	93.866	<u>—</u>	<u>9</u>	<u>—</u>	<u>9</u>
Agency Totals		<u>1,017</u>	<u>1,688,850</u>	<u>—</u>	<u>1,689,867</u>
Research and Development Cluster		<u>221,262,821</u>	<u>147,165,570</u>	<u>—</u>	<u>368,428,391</u>
Bilingual Education:					
U. S. Department of Education					
Indirect Awards					
Bilingual Education Development & Implementation Grants	84.288	—	108,909	—	108,909
Bilingual Education: Comprehensive School Grants	84.290	<u>—</u>	<u>183,764</u>	<u>—</u>	<u>183,764</u>
Agency Totals		<u>—</u>	<u>292,673</u>	<u>—</u>	<u>292,673</u>
Bilingual Education Cluster		<u>—</u>	<u>292,673</u>	<u>—</u>	<u>292,673</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
CDBG - Entitlement and Small Cities:					
U. S. Department of Housing & Urban Development					
Direct Awards					
Community Development Block Grants/Entitlement Grants	14.218	\$ (946)	\$ —	\$ —	\$ (946)
Community Development Block Grants/Small Cities Program	14.219	—	(6,595)	—	(6,595)
Indirect Awards					
Community Development Block Grants/Entitlement Grants	14.218	—	230,108	—	230,108
Agency Totals		(946)	223,513	—	222,567
Miscellaneous U. S. Departments					
Indirect Awards					
Community Development Block Grants/Entitlement Grants	14.218	—	12,860	—	12,860
Agency Totals		—	12,860	—	12,860
CDBG – Entitlement and Small Cities Cluster					
		(946)	236,373	—	235,427
Child Care:					
U. S. Department of Health and Human Services					
Pass-Through from State of Illinois					
Child Care Mandatory and Matching Funds of Child Care	93.596	50,381	—	90,362	140,743
Agency Totals		50,381	—	90,362	140,743
Child Care Cluster					
		50,381	—	90,362	140,743

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Child Nutrition:					
U. S. Department of Agriculture Pass-Through from State of Illinois Summer Food Service Program for Children	10.559	\$ 4,412	\$ 143	\$ —	\$ 4,555
Agency Totals		<u>4,412</u>	<u>143</u>	<u>—</u>	<u>4,555</u>
Child Nutrition Cluster		<u>4,412</u>	<u>143</u>	<u>—</u>	<u>4,555</u>
Consolidated Health Centers:					
U. S. Department of Health and Human Services – Health Resources and Service Administration Direct Awards					
Health Center Grants for Homeless Populations (HCH)	93.151	—	261,105	—	261,105
Community Health Centers (CHC)	93.224	—	606,072	—	606,072
Agency Totals		<u>—</u>	<u>867,177</u>	<u>—</u>	<u>867,177</u>
U. S. Department of Health and Human Services – Public Health Services Direct Awards					
Health Center Grants for Homeless Populations (HCH)	93.151	—	(32,634)	—	(32,634)
Agency Totals		<u>—</u>	<u>(32,634)</u>	<u>—</u>	<u>(32,634)</u>
Consolidated Health Cluster		<u>—</u>	<u>834,543</u>	<u>—</u>	<u>834,543</u>
Fish and Wildlife					
U. S. Fish and Wildlife - IL Natural Resources Pass-Through from State of Illinois Sport Fish Restoration	15.605	32,383	—	—	32,383
Agency Totals		<u>32,383</u>	<u>—</u>	<u>—</u>	<u>32,383</u>

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U. S. Interior - Fish and Wildlife					
Pass-Through from State of Illinois					
Sport Fish Restoration	15.605	\$ 146,276	\$ —	\$ —	\$ 146,276
Wildlife Restoration	15.611	76,005	—	—	76,005
Agency Totals		<u>222,281</u>	<u>—</u>	<u>—</u>	<u>222,281</u>
Fish and Wildlife Cluster		<u>254,664</u>	<u>—</u>	<u>—</u>	<u>254,664</u>
Food Stamps:					
U. S. Department of Agriculture					
Pass-Through from State of Illinois					
State Administrative Matching Grants for Food Stamps	10.561	1,014,455	—	—	1,014,455
Agency Totals		<u>1,014,455</u>	<u>—</u>	<u>—</u>	<u>1,014,455</u>
Food Stamps Cluster		<u>1,014,455</u>	<u>—</u>	<u>—</u>	<u>1,014,455</u>
Highway Safety:					
U. S. Department of Transportation					
Pass-Through from State of Illinois					
State and Community Highway Safety	20.600	—	111,484	162,478	273,962
Alcohol Traffic Safety and Drunk Driving Prevention	20.601	—	—	25,662	25,662
Safety Incentive Grants for Use of Seatbelts	20.604	—	88,978	—	88,978
Agency Totals		<u>—</u>	<u>200,462</u>	<u>188,140</u>	<u>388,602</u>
Highway Safety Cluster		<u>—</u>	<u>200,462</u>	<u>188,140</u>	<u>388,602</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
HIV:					
U. S. Department of Health and Human Services – Health Resources and Services Administration					
Direct Awards					
HIV Emergency Relief Formula Grants	93.915	\$ —	\$ 45,786	\$ —	\$ 45,786
Indirect Awards					
HIV Emergency Relief Project Grants	93.914	—	(67,339)	—	(67,339)
Agency Totals		—	(21,553)	—	(21,553)
U. S. Department of Health and Human Services – Public Health Services					
Indirect Awards					
HIV Emergency Relief Project Grants	93.914	—	1,674,206	—	1,674,206
Agency Totals		—	1,674,206	—	1,674,206
HIV Cluster		—	1,652,653	—	1,652,653
Medicaid:					
U. S. Department of Health and Human Services – Health Care Financing Administration					
Pass-Through from State of Illinois					
Medical Assistance Program — Home Nursing	93.778	—	17,024,731	—	17,024,731
Agency Totals		—	17,024,731	—	17,024,731
Medicaid Cluster		—	17,024,731	—	17,024,731

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Other Programs:					
U. S. Action					
Direct Awards					
Other Federal Assistance		\$ 1,581	\$ —	\$ —	\$ 1,581
Agency Totals		<u>1,581</u>	<u>—</u>	<u>—</u>	<u>1,581</u>
U. S. Department of Agriculture					
Direct Awards					
Other Federal Assistance		675,436	—	—	675,436
Agricultural Research – Basic and Applied Research	10.001	4,982	—	—	4,982
Plant and Animal Disease, Pest Control, and Animal					
Care	10.025	14,553	—	—	14,553
Grants for Agricultural Research, Special Research	10.200	2,496	—	—	2,496
Food and Agricultural Science National Needs Graduate					
Fellowship	10.210	169,167	—	—	169,167
Higher Education Challenge Grants	10.217	9,674	—	—	9,674
Higher Education Multicultural Scholarship Program	10.220	45,000	—	—	45,000
Initiative for Future Agriculture and Food Systems	10.302	18,263	—	—	18,263
Integrated Programs	10.303	51,003	—	—	51,003
Cooperative Extension Service	10.500	6,382,012	—	—	6,382,012
Smith Lever	10.500	4,651,993	—	—	4,651,993
Cooperative Forestry Assistance	10.664	35,518	—	—	35,518
Agency Totals		<u>12,060,097</u>	<u>—</u>	<u>—</u>	<u>12,060,097</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Commerce					
Direct Awards					
Other Federal Assistance		\$ 4,570	\$ 2,837	\$ —	\$ 7,407
Sea Grant Support	11.417	(1)	—	—	(1)
Public Telecommunications Facilities – Planning and Agency Totals	11.550	<u>2,492</u>	<u>—</u>	<u>—</u>	<u>2,492</u>
		<u>7,061</u>	<u>2,837</u>	<u>—</u>	<u>9,898</u>
U. S. Department of Commerce – National Oceanic and Atmospheric Administration					
Direct Awards					
Sea Grant Support	11.417	<u>182,681</u>	<u>—</u>	<u>—</u>	<u>182,681</u>
Agency Totals		<u>182,681</u>	<u>—</u>	<u>—</u>	<u>182,681</u>
U. S. Department of Energy					
Direct Awards					
Other Federal Assistance		—	1,233	—	1,233
Basic Energy Sciences, High Energy/Nuclear Physics, Fusion	81.049	9,016	13,398	—	22,414
Office of Scientific & Technical Information	81.064	—	452,178	—	452,178
Renewable Energy Research and Development	81.087	—	290,101	—	290,101
University Nuclear Science and Reactor Support	81.114	8,682	—	—	8,682
Pass-Through from State of Illinois					
State Energy Conservation	81.041	—	50,582	—	50,582
State Energy Program Special Projects	81.119	—	105,994	—	105,994
Agency Totals		<u>17,698</u>	<u>913,486</u>	<u>—</u>	<u>931,184</u>
U. S. Department of Energy – Argonne National Laboratory					
Direct Awards					
Argonne National Laboratory		—	314	—	314
Agency Totals		<u>—</u>	<u>314</u>	<u>—</u>	<u>314</u>

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Energy – Oak Ridge National Laboratory					
Direct Awards					
Other Federal Assistance		\$ 3,784	\$ —	\$ —	\$ 3,784
Agency Totals		<u>3,784</u>	<u>—</u>	<u>—</u>	<u>3,784</u>
U. S. Department of Energy – Sandia					
Direct Awards					
DOE SNL AE 0629*		9,520	—	—	9,520
Agency Totals		<u>9,520</u>	<u>—</u>	<u>—</u>	<u>9,520</u>
U. S. Department of State					
Direct Awards					
Other Federal Assistance		689	—	—	689
Russian, Eurasian, and East European Research and Training	19.300	132,109	—	—	132,109
Professional Development International Educators/Administrators	19.404	—	903	—	903
Educational Exchange – Fulbright American Studies Institutes	19.418	—	255,160	—	255,160
Indirect Awards					
Cultural Exchange (Visual Arts)	19.414	—	8,579	—	8,579
Agency Totals		<u>132,798</u>	<u>264,642</u>	<u>—</u>	<u>397,440</u>
U. S. Department of State – A.I.D.					
Direct Awards					
Other Federal Assistance		22,743	—	—	22,743
Agency Totals		<u>22,743</u>	<u>—</u>	<u>—</u>	<u>22,743</u>
U. S. Department of Transportation					
Direct Awards					
Other Federal Assistance		(3,361)	—	—	(3,361)
Federal Transit Grants for University Research and	20.502	12,398	—	—	12,398

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Pass-Through from State of Illinois					
Interagency Hazardous Materials Public Sector Training	20.703	\$ (17,163)	\$ —	\$ —	\$ (17,163)
Agency Totals		<u>(8,126)</u>	<u>—</u>	<u>—</u>	<u>(8,126)</u>
U. S. Department of Transportation – Federal Aviation Administration					
Direct Awards					
Other Federal Assistance		5,000	—	—	5,000
Agency Totals		<u>5,000</u>	<u>—</u>	<u>—</u>	<u>5,000</u>
U. S. Department of Transportation – Federal Highway Administration					
Direct Awards					
Federal Transit Grants for University Research and	20.502	4,332	—	—	4,332
Agency Totals		<u>4,332</u>	<u>—</u>	<u>—</u>	<u>4,332</u>
U. S. Department of Defense					
Direct Awards					
Basic and Applied Scientific Research	12.431	89,309	—	—	89,309
Agency Totals		<u>89,309</u>	<u>—</u>	<u>—</u>	<u>89,309</u>
U. S. Department of Defense – Air Force					
Direct Awards					
Other Federal Assistance		62,821	—	—	62,821
Agency Totals		<u>62,821</u>	<u>—</u>	<u>—</u>	<u>62,821</u>
U. S. Department of Defense – Army					
Direct Awards					
Other Federal Assistance		264,687	—	—	264,687
Military Medical Research and Development	12.420	34,995	—	—	34,995
Basic and Applied Scientific Research	12.431	2,108	—	—	2,108
Agency Totals		<u>301,790</u>	<u>—</u>	<u>—</u>	<u>301,790</u>

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U. S. Department of Defense – National Security Agency					
Direct Awards					
Other Federal Assistance		\$ 6,613	\$ —	\$ —	\$ 6,613
Mathematical Sciences Grants Program	12.901	<u>467</u>	<u>—</u>	<u>—</u>	<u>467</u>
Agency Totals		<u>7,080</u>	<u>—</u>	<u>—</u>	<u>7,080</u>
U. S. Department of Defense – Navy					
Direct Awards					
Other Federal Assistance		81,579	—	—	81,579
Basic and Applied Scientific Research	12.300	<u>120,299</u>	<u>—</u>	<u>—</u>	<u>120,299</u>
Agency Totals		<u>201,878</u>	<u>—</u>	<u>—</u>	<u>201,878</u>
U. S. Department of Education					
Direct Awards					
FWS 00-01*		527,384	—	—	527,384
FWS 0001*		—	—	10,807	10,807
FWS 99-00*		191,044	—	—	191,044
FWS-OBA Support*		—	—	3,000	3,000
Other Federal Assistance		13,260,951	16,661	—	13,277,612
National Resource Centers & Fellowships – International Undergrad International Studies & Foreign Language Programs	84.015	1,138,898	—	—	1,138,898
Fulbright – Hays Training Grants – Group Projects Abroad	84.016	27,121	—	—	27,121
Fulbright – Hays Training Grants – Doctoral Dissertation	84.021	24,085	—	—	24,085
Handicapped – Early Childhood Education	84.022	21,113	—	—	21,113
Education/Special Education Personnel Development	84.024	966	—	—	966
Vocational Education Research	84.029	63,497	64,044	—	127,541
Fund for the Improvement of Postsecondary Education	84.051	(511)	—	—	(511)
	84.116	(658)	17,965	—	17,307

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Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Rehabilitation Training	84.129	\$ 143,151	\$ —	\$ —	\$ 143,151
National Institute on Disability and Rehabilitation Research	84.133	23,942	1,012,707	—	1,036,649
Secondary Education and Transitional Services	84.158	276,744	—	—	276,744
Jacob K. Javits Fellowships	84.170	26,191	—	—	26,191
Bilingual Education Support Services	84.194	—	221,473	—	221,473
Bilingual Education Training Grants	84.195	102,880	560,710	—	663,590
Graduate Assistance in Areas of National Need	84.200	288,991	—	—	288,991
Jacob K Javits Gifted and Talented Students	84.206	—	255,287	—	255,287
Secretary's Fund for Innovation in Education	84.215	—	516,733	—	516,733
Center for International Business Education	84.220	244,292	—	—	244,292
Rehabilitation Training-Experimental and Innovative Training	84.263	4,379	—	—	4,379
Rehabilitation Continuing Education Programs	84.264	188,831	—	—	188,831
21 st Century Community Learning Centers	84.287	40,390	—	—	40,390
Ready to Learn Television	84.295	26,397	—	—	26,397
Special Education Research & Innovation to Improve Special Education – Personnel Preparation to Improve Service & Results	84.324	294,283	150,249	—	444,532
Gaining Early Awareness and Readiness for Undergraduate Programs	84.325	391,923	—	—	391,923
Child Care Access Means Parents in School	84.334	269,103	442,889	548,612	1,260,604
Teacher Quality Enhancement Grants	84.335	24,764	63,843	—	88,607
Preparing Tomorrow's Teachers to use Technology	84.336	481,539	—	—	481,539
Indirect Awards	84.342	33,103	151,714	—	184,817
Bilingual Education Training Grants	84.195	—	87,281	—	87,281
Pass-Through from State of Illinois	84.181	—	256,548	—	256,548
Handicapped Infants and Toddlers	84.181	—	256,548	—	256,548

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Goals 2000: Educate America Act	84.276	\$ 19,110	\$ —	\$ —	\$ 19,110
Eisenhower Professional Development State Grants	84.281	60,721	—	83,735	144,456
Community Technology Centers	84.341	—	19,985	—	19,985
Agency Totals		<u>18,194,624</u>	<u>3,838,089</u>	<u>646,154</u>	<u>22,678,867</u>
U. S. Environmental Protection Agency					
Direct Awards					
Other Federal Assistance		44,919	13,591	—	58,510
Air Pollution Control Program Support	66.001	—	44,966	—	44,966
Great Lakes Program	66.469	7,439	—	—	7,439
Environmental Protection Consolidated Research Grants	66.500	21,922	—	—	21,922
Special Purpose	66.606	602,758	32,264	—	635,022
Training and Fellowships for the Environmental Protection Agency	66.607	67,950	33,970	—	101,920
Children's Health Protection	66.609	—	23,722	—	23,722
Indirect Award					
Air Pollution Control Program Support	66.001	3,936	—	—	3,936
Nonpoint Source Implementation	66.460	2,636	—	—	2,636
Pass-Through from State of Illinois					
State Indoor Radon Grants	66.032	—	39,094	—	39,094
Nonpoint Source Implementation	66.460	52,509	—	—	52,509
Pollution Prevention Grants Program	66.708	20,142	—	—	20,142
Hazardous Substance Response Trust Fund	66.802	8,910	—	—	8,910
Agency Totals		<u>833,121</u>	<u>187,607</u>	<u>—</u>	<u>1,020,728</u>
U. S. Department of Health and Human Services					
Direct Awards					
Financial Assistance for Disadvantaged Health Professions	93.139	—	30,000	—	30,000
Net Assets for Independent Demonstration Program	93.602	174	—	—	174

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Pass-Through from State of Illinois					
Juvenile Justice and Delinquency Prevention Allocation to States	16.540	\$ 192,845	\$ —	\$ —	\$ 192,845
Enforcing Underage Drinking Laws Program	16.727	37,429	—	—	37,429
Temporary Assistance for Needy Families	93.558	617,072	—	—	617,072
Child Care and Development Block Grant	93.575	—	—	58,577	58,577
Block Grants for Prevention and Treatment of Substance Abuse	93.959	<u>1,031,217</u>	<u>66,503</u>	<u>—</u>	<u>1,097,720</u>
Agency Totals		<u>1,878,737</u>	<u>96,503</u>	<u>58,577</u>	<u>2,033,817</u>
U. S. Department of Health and Human Services – Administration on Aging					
Direct Awards					
Special Programs for the Aging – Title III, Part F-Preventive	93.043	<u>—</u>	<u>26,677</u>	<u>—</u>	<u>26,677</u>
Agency Totals		<u>—</u>	<u>26,677</u>	<u>—</u>	<u>26,677</u>
U. S. Department of Health and Human Services – Centers for Disease Control					
Direct Awards					
Other Federal Assistance		—	2,957	—	2,957
Centers for Research and Demonstrations for Health Promotion and Disease Control	93.135	—	539,492	—	539,492
Occupational Safety and Health Training Grants	93.263	—	891,837	—	891,837
Centers for Disease Control-Investigations and Technical	93.283	—	568,481	—	568,481
Preventive Health and Health Services Block Grant	93.991	—	62,619	—	62,619

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Indirect Awards					
Centers for Research and Demonstrations for Health Promotion and Disease Control	93.135	\$ —	\$ 114,154	\$ —	\$ 114,154
HIV Prevention Activities – Health Department Based	93.940	—	50,112	—	50,112
Preventive Health Service Sexually Transmitted Disease	93.977	—	77,768	—	77,768
Pass-Through from State of Illinois					
Centers for Disease Control – Investigations and Technical	93.283	—	(2,049)	—	(2,049)
HIV Prevention Activities – Health Department Based	93.940	—	57,071	—	57,071
Assistance Program for Chronic Disease Prevention and Control	93.945	—	29,834	—	29,834
Agency Totals		—	2,392,276	—	2,392,276
U. S. Department of Health and Human Services – Health Resources and Services Administration					
Direct Awards					
Other Federal Assistance		—	425,140	—	425,140
Maternal and Child Health-Federal Consolidated Programs	93.110	—	397,320	—	397,320
Centers of Excellence	93.157	—	386,854	—	386,854
Hansen’s Disease National Ambulatory Care Program	93.215	—	95,754	—	95,754
Advanced Education Nursing Grant Program	93.247	—	196,771	—	196,771
Public Health Training Centers Grant Program	93.249	—	200,931	—	200,931
Advanced Education Nursing Traineeships	93.358	—	130,354	—	130,354
Grants for Graduate Training in Family Medicine	93.379	—	3,487	—	3,487
Rural Health Services Outreach and Rural Network Development	93.912	—	24,676	—	24,676
Grants to Provide Outpatient Early Intervention Services	93.918	—	254,364	—	254,364
Health Start Initiative	93.926	—	58,621	—	58,621

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Grants for the Training of Health Professions in Academic Administration Units in Primary Care	93.969	\$ —	\$ —	\$ 7,229	\$ 7,229
	93.984	—	173,760	—	173,760
Indirect Awards					
AIDS Education and Training Centers	93.145	—	113,025	—	113,025
HIV Care Formula Grants	98.917	—	(24,760)	—	(24,760)
Pass-Through from State of Illinois					
Maternal and Child Health Services Block Grant	93.994	—	8,285,636	—	8,285,636
Agency Totals		—	10,721,933	7,229	10,729,162
U. S. Department of Health and Human Services – Indian Health Services					
Direct Awards					
Other Federal Assistance		—	29,330	—	29,330
Agency Totals		—	29,330	—	29,330
U. S. Department of Health and Human Services – Office of Human Development					
Direct Awards					
Community Services Block Grants Discretionary Awards	93.570	—	86,843	—	86,843
Indirect Awards					
Administration for Children, Youth and Families	93.600	—	1,423,172	—	1,423,172
Pass-Through from State of Illinois					
Administration on Developmental Disabilities – UNIV	93.632	—	5,009	—	5,009
Foster Care Title IV E	93.658	—	271,479	—	271,479
Agency Totals		—	1,786,503	—	1,786,503

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Health and Human Services – Public Health Service					
Direct Awards					
Other Federal Assistance		\$ 691	\$ 1,054,697	\$ —	\$ 1,055,388
Nation Family Caregiver Support Program	93.052	—	17,563	—	17,563
Bilingual/Bicultural Service Demonstration Projects in Minority Health	93.015	—	16,774	—	16,774
Maternal and Child Health-Federal Consolidated Programs	93.110	—	252,917	—	252,917
Applied Toxicological Research and Testing	93.114	78,012	—	—	78,012
Diseases of the Teeth and Supporting Tissues	93.121	—	31,342	—	31,342
Hazardous Waste Workers Health and Safety Training	93.142	26,922	—	—	26,922
Human Genome Research	93.172	—	167,119	—	167,119
Biological Research Related to Deafness & Communicative Diseases	93.173	22,438	—	—	22,438
Community Partnership Demonstration Grant	93.194	34,026	—	—	34,026
Extramural Research Facilities Construction Projects	93.214	—	23,471	—	23,471
Mental Health Research Grants	93.242	183,781	—	—	183,781
Occupational Safety and Health – Training Grants	93.263	—	16,669	—	16,669
Alcohol National Research Service Awards for Research	93.272	64,024	—	—	64,024
Drug Abuse National Research Service Awards for Research	93.278	40,231	—	—	40,231
Drug Abuse Research Programs	93.279	23,568	190,633	—	214,201
Mental Health National Research Service Awards	93.282	493,362	—	—	493,362
Centers for Disease Control-Investigations and Technical	93.283	72,044	170,435	—	242,479
Nursing Research	93.361	—	191,815	—	191,815
Research Centers in Minority Institutions	93.389	—	76,347	—	76,347

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Cancer Research Manpower	93.398	\$ 38,608	\$ 94,108	\$ —	\$ 132,716
Community Schools Youth Services and Supervision	93.588	—	63,744	—	63,744
Administration for Children, Youth and Families – Head Start	93.600	776,914	—	—	776,914
Biophysics and Physiological Sciences	93.821	763,916	—	—	763,916
Heart and Vascular Diseases Research	93.837	—	3,386	—	3,386
Lung Diseases Research	93.838	—	347,146	—	347,146
Blood Diseases and Resources Research	93.839	—	93,723	—	93,723
Extramural Research Programs in the Neurosciences	93.853	110,008	—	—	110,008
Microbiology and Infectious Diseases Research	93.856	(27)	65,299	—	65,272
Pharmacological Sciences	93.859	10,132	—	—	10,132
Population Research	93.864	212,291	—	—	212,291
Research for Mothers and Children	93.865	96,521	—	—	96,521
Aging Research	93.866	28,544	—	—	28,544
Minority Access to Research Careers	93.880	21,802	—	—	21,802
Grants for Residency Training in General Internal Medicine and/or General Practices	93.884	—	(45,558)	—	(45,558)
Resources and Manpower Development – Environmental Health	93.894	231,979	—	—	231,979
Predoctoral Training in Primary Care	93.896	—	71,780	—	71,780
Residencies and Advanced Education	93.897	—	(10,751)	—	(10,751)
Traineeships for Students in Schools of Public Health and Graduate Public Health	93.964	—	70,919	—	70,919
Indirect Awards					
HIV Prevention Activities – Health Department Based	93.940	—	35,168	—	35,168
Pass-Through from State of Illinois					
Maternal and Child Health-Federal Consolidated Programs	93.110	—	79,463	—	79,463

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Intramural Research Training Award	93.140	\$ —	\$ 3,828	\$ —	\$ 3,828
Community Partnership Demonstration Grant	93.194	—	68,382	—	68,382
Family Planning Services	93.217	—	208,869	—	208,869
Consolidated Knowledge Development and Application Cooperative Agreements for State-Based Diabetes Control	93.230	—	48,597	—	48,597
Maternal and Child Health Services Block Grant	93.988	—	96,078	—	96,078
Agency Totals	93.994	<u>—</u>	<u>468,288</u>	<u>—</u>	<u>468,288</u>
		<u>3,329,787</u>	<u>3,972,251</u>	<u>—</u>	<u>7,302,038</u>
U.S. Department of Health and Human Services – Substance Abuse					
Direct Awards					
Heart, Lung and Blood Disorders Shared Research Facilities	93.131	—	292,866	—	292,866
HIV/Aids and Related Diseases Among Substance Abusers	93.949	—	472,618	—	472,618
Agency Totals		<u>—</u>	<u>765,484</u>	<u>—</u>	<u>765,484</u>
U. S. Department of Housing and Urban Development					
Direct Awards					
Other Federal Assistance		9,251	—	—	9,251
Supportive Housing Demonstration Program	14.235	25,948	—	—	25,948
Housing Opportunities for Persons with AIDS (HOPWA)	14.241	—	64,339	—	64,339
Equal Opportunity in Housing	14.400	—	1,446	—	1,446
General Research and Technology Activity	14.506	21,167	—	—	21,167
Joint Community Development Program	14.510	—	422,748	—	422,748
Community Outreach Partnership Center Program	14.511	—	—	66,616	66,616
Public Housing Drug Elimination Program	14.854	1	—	—	1
Agency Totals		<u>56,367</u>	<u>488,533</u>	<u>66,616</u>	<u>611,516</u>

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Interior – Fish and Wildlife Pass-Through from State of Illinois Fish and Wildlife Management Assistance	15.608	\$ 62,134	\$ —	\$ —	\$ 62,134
Agency Totals		<u>62,134</u>	<u>—</u>	<u>—</u>	<u>62,134</u>
U. S. Department of Interior – Geological Survey Direct Awards					
CAL – US Geological Survey*		<u>1,696</u>	<u>—</u>	<u>—</u>	<u>1,696</u>
Agency Totals		<u>1,696</u>	<u>—</u>	<u>—</u>	<u>1,696</u>
U. S. Department of Justice Direct Awards					
Juvenile Justice and Delinquency Prevention – Special Emphasis	16.541	—	62,374	—	62,374
Justice Research and Development Project Grants	16.560	—	97,660	—	97,660
Public Safety and Community Policing Grants	16.710	—	472,756	—	472,756
Troops To Cops	16.711	596,618	—	—	596,618
Indirect Awards					
Juvenile Accountability Incentive Block Grants (JAIBG)	16.523	—	157,735	—	157,735
Local Law Enforcement Block Grant Program	16.592	—	626,171	—	626,171
Public Safety and Community Policing Grants	16.710	—	292,561	—	292,561
Troops to Cops	16.711	—	35,942	—	35,942
Pass-Through from State of Illinois					
Law Enforcement Assistance – Narcotics and Dangerous Drugs Training	16.004	—	—	2,334	2,334
Part E-Share Challenge Activities	16.549	—	—	22,163	22,163
State and Local Narcotics Control Assistance	16.579	—	—	1,934	1,934
Public Safety and Community Policing Grants	16.710	85,327	—	(76)	85,251
Agency Totals		<u>681,945</u>	<u>1,745,199</u>	<u>26,355</u>	<u>2,453,499</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Justice - Miscellaneous					
Direct Award					
Anticipated Federal Assistance	ANTIC	\$ —	\$ 69,460	\$ —	\$ 69,460
Agency Totals		<u>—</u>	<u>69,460</u>	<u>—</u>	<u>69,460</u>
U. S. Department of Labor					
Direct Awards					
Other Federal Assistance		—	46,260	—	46,260
Woman's Special Employment Assistance	17.700	—	5,000	—	5,000
Pass-Through from State of Illinois					
Workforce Investment Act	17.255	—	—	35,923	35,923
WIA Adult Program	17.258	—	—	81,435	81,435
Agency Totals		<u>—</u>	<u>51,260</u>	<u>117,358</u>	<u>168,618</u>
Miscellaneous Federal Awards					
Direct Awards					
Other Federal Assistance		152,723	46,254	—	198,977
Housing Opportunities for Persons with AIDS (HOPWA)	14.241	—	168,610	—	168,610
To Support/Bring International Exchange Program	45.024	—	(230)	—	(230)
Institute of Museum and Library Services	45.312	118,974	11,601	—	130,575
First Responder Anti-Terrorism Training Assistance	83.547	105,028	—	—	105,028
State Fire Training Systems Grants	83.553	22,012	—	—	22,012
National Historical Publications and Records Grants	89.003	7,541	—	—	7,541
Learn and Serve Higher Education	94.005	18,850	—	—	18,850
Pass-Through from State of Illinois					
Interagency Hazardous Materials Public Sector Training Reference Services in Science, Technology, and Business	20.703	186,937	—	—	186,937
Business	42.007	—	—	185	185
State Library Program	45.310	43,371	—	69,987	113,358

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Emergency Management Performance Grants	83.552	\$ 160,000	\$ —	\$ —	\$ 160,000
Agency Totals		<u>815,436</u>	<u>226,235</u>	<u>70,172</u>	<u>1,111,843</u>
U. S. National Aeronautics and Space Administration					
Direct Awards					
Other Federal Assistance		48,348	—	—	48,348
Aerospace Educational Services Program	43.001	—	1,578	—	1,578
Agency Totals		<u>48,348</u>	<u>1,578</u>	<u>—</u>	<u>49,926</u>
U. S. National Aeronautics and Space Administration – @					
Ames					
Direct Awards					
NASA NGT 2-52244*		296	—	—	296
NASA NGT 2-52271*		20,827	—	—	20,827
Agency Totals		<u>21,123</u>	<u>—</u>	<u>—</u>	<u>21,123</u>
Goddard					
Direct Awards					
NASA NGT 5-40073-FEL*		21,938	—	—	21,938
NASA NGT 5-400073-SCH*		1,000	—	—	1,000
NASA NGT 5-50228*		2,222	—	—	2,222
NASA NGT 5-50326*		22,028	—	—	22,028
NASA NGT 5-50343*		29,561	—	—	29,561
NASA NGT 5-84*		22,022	—	—	22,022
Agency Totals		<u>98,771</u>	<u>—</u>	<u>—</u>	<u>98,771</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. National Aeronautics and Space Administration – @ L. B. Johnson					
Direct Awards					
NASA NAG 9 1371*		\$ 19,778	\$ —	\$ —	\$ 19,778
NASA NGT 0-33*		<u>2,222</u>	<u>—</u>	<u>—</u>	<u>2,222</u>
Agency Totals		<u>22,000</u>	<u>—</u>	<u>—</u>	<u>22,000</u>
U. S. National Aeronautics and Space Administration – @ Lewis					
Direct Awards					
NASA NGT 3-52345*		2,222	—	—	2,222
NASA NGT 3-52357*		<u>19,071</u>	<u>—</u>	<u>—</u>	<u>19,071</u>
Agency Totals		<u>21,293</u>	<u>—</u>	<u>—</u>	<u>21,293</u>
U. S. National Science Foundation					
Direct Awards					
Engineering Grants	47.041	141,403	292	—	141,695
Mathematical and Physical Sciences	47.049	181,922	119,892	—	301,814
Geosciences	47.050	122	—	—	122
Computer and Information Science and Engineering (CISE)	47.070	703,935	447,941	—	1,151,876
Biological Sciences	47.074	43,893	—	105,051	148,944
Social Behavioral and Economic Sciences	47.075	27,508	13,295	—	40,803
Education and Human Resources	47.076	979,842	56,181	125,145	1,161,168
Anticipated Federal Assistance	ANTIC	<u>4,731</u>	<u>—</u>	<u>—</u>	<u>4,731</u>
Agency Totals		<u>2,083,356</u>	<u>637,601</u>	<u>230,196</u>	<u>2,951,153</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. National Science Foundation - SUBS					
Direct Awards					
Computer and Information Science and Engineering	47.070	\$ 245,211	\$ —	\$ —	\$ 245,211
Polar Programs	47.078	<u>5,556</u>	<u>—</u>	<u>—</u>	<u>5,556</u>
Agency Totals		<u>250,767</u>	<u>—</u>	<u>—</u>	<u>250,767</u>
U. S. National Endowment for the Arts					
Direct Awards					
IAC FY02-0205	45.025	<u>539</u>	<u>—</u>	<u>—</u>	<u>539</u>
Agency Totals		<u>539</u>	<u>—</u>	<u>—</u>	<u>539</u>
U. S. National Endowment for the Humanities					
Direct Awards					
Promotion of the Arts – Museums	45.012	180,411	—	—	180,411
Promotion of the Humanities – Office of Preservation	45.149	94,462	—	—	94,462
Promotion of Humanities Education Development	45.162	25,000	—	—	25,000
Promotion of the Humanities Seminars and Institutes	45.163	—	18,641	—	18,641
Institute of Museum and Library Services	45.312	—	44,981	—	44,981
Pass-Through from State of Illinois					
Promotion of the Humanities – State Humanities Council	45.129	<u>—</u>	<u>11,767</u>	<u>—</u>	<u>11,767</u>
Agency Totals		<u>299,873</u>	<u>75,389</u>	<u>—</u>	<u>375,262</u>
U. S. Department of the Treasury					
Direct Awards					
Other Federal Assistance		<u>425</u>	<u>—</u>	<u>—</u>	<u>425</u>
Agency Totals		<u>425</u>	<u>—</u>	<u>—</u>	<u>425</u>
U. S. TVA					
Indirect Awards					
TVA Energy Research and Technology Applications	62.001	<u>2,072</u>	<u>—</u>	<u>—</u>	<u>2,072</u>
Agency Totals		<u>2,072</u>	<u>—</u>	<u>—</u>	<u>2,072</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Veterans Administration					
Direct Awards					
Other Federal Assistance		\$ 8,323	\$ 216,860	\$ —	\$ 225,183
Veterans State Domiciliary Care	64.014	<u>—</u>	<u>(7,594)</u>	<u>—</u>	<u>(7,594)</u>
Agency Totals		<u>8,323</u>	<u>209,266</u>	<u>—</u>	<u>217,589</u>
Other Programs Subtotal		<u>41,812,784</u>	<u>28,502,453</u>	<u>1,222,657</u>	<u>71,537,894</u>
Student Financial Aid:					
U. S. Department of Education					
Direct Awards					
Supplemental Educational Opportunity Grants	84.007	(501,131)	644,695	107,966	251,530
College Work – Study Program	84.033	1,894,616	722,091	85,090	2,701,797
Perkins Loans – Federal Capital Contribution	84.038	61,221	625,762	—	686,983
Pell Grant Program	84.063	(1,503)	13,331,333	1,285,163	14,614,993
Federal Direct Student Loan Program	84.268	<u>—</u>	<u>(600)</u>	<u>—</u>	<u>(600)</u>
Agency Totals		<u>1,453,203</u>	<u>15,323,281</u>	<u>1,478,219</u>	<u>18,254,703</u>
U. S. Department of Health and Human Services					
Direct Awards					
Health Professions – Student Loans Including Primary					
Care	93.342	—	28,143	—	28,143
Nursing Student Loans	93.364	—	79,411	—	79,411
Scholarships for First-Year Students of Exceptional					
Financial Need	93.820	—	11	—	11
Scholarships for Health Professions Students	93.925	<u>—</u>	<u>558,106</u>	<u>—</u>	<u>558,106</u>
Agency Totals		<u>—</u>	<u>665,671</u>	<u>—</u>	<u>665,671</u>

State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Cash Federal Awards
Year Ended June 30, 2002

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
U. S. Department of Health and Human Services – Public Health Service					
Direct Awards					
Scholarships for Health Professions Students	93.925	\$ 33,902	\$ —	\$ —	\$ 33,902
Agency Totals		<u>33,902</u>	<u>—</u>	<u>—</u>	<u>33,902</u>
Student Financial Aid Cluster		<u>1,487,105</u>	<u>15,988,952</u>	<u>1,478,219</u>	<u>18,954,276</u>
Trio:					
U. S. Department of Education					
Direct Awards					
Student Support Services	84.042	125,566	235,200	—	360,766
Talent Search	84.044	—	205,981	—	205,981
Upward Bound	84.047	361,380	793,846	—	1,155,226
Ronald E. McNair Post-Baccalaureate Achievement	84.217	<u>350,635</u>	<u>257,513</u>	<u>—</u>	<u>608,148</u>
Agency Totals		<u>837,581</u>	<u>1,492,540</u>	<u>—</u>	<u>2,330,121</u>
Trio Cluster		<u>837,581</u>	<u>1,492,540</u>	<u>—</u>	<u>2,330,121</u>
Total Cash Federal Awards		<u>\$ 266,723,257</u>	<u>\$ 213,391,093</u>	<u>\$ 2,979,378</u>	<u>\$ 483,093,728</u>

The accompanying notes are an integral part of this schedule.

* For reporting purposes these awards are presented under the award description as allowed by OMB Circular A-133. No CFDA numbers were available for the awards.

**State of Illinois
University of Illinois
Schedule of Expenditures of Federal Awards
Noncash Federal Awards
Year Ended June 30, 2002**

Cluster/Federal Grantor/Pass-Through Grantor/Type Of Award/Program Title/Award Description	Federal CFDA Number	Urbana	Chicago	Springfield	Totals
Student Financial Aid					
U. S. Department of Education					
Federal Family Education Loans (FFEL)					
Stafford	84.032	\$ —	\$ —	\$ 5,656,251	\$ 5,656,251
Parent Loans for Undergraduate Students	84.032	—	—	71,494	71,494
Total Federal Family Education Loans (FFEL)		—	—	5,727,745	5,727,745
Federal Direct Student Loans					
Stafford	84.268	70,030,966	77,233,476	—	147,264,442
Parent Loans for Undergraduate Students	84.268	18,614,613	1,228,132	—	19,842,745
Total Federal Direct Student Loans		88,645,579	78,461,608	—	167,107,187
Total U. S. Department of Education		88,645,579	78,461,608	5,727,745	172,834,932
U. S. Department of Health and Human Services					
Health Professions Student Loans	93.342	13,725	107,554	—	121,279
Total U. S. Department of Health and Human Services		13,725	107,554	—	121,279
Total Student Financial Aid		88,659,304	78,569,162	5,727,745	172,956,211
Total Noncash Federal Awards		\$ 88,659,304	\$ 78,569,162	\$ 5,727,745	\$ 172,956,211

The accompanying notes are an integral part of this schedule.

State of Illinois
University of Illinois
Compliance Audit
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Note 1: Scope of Audit Pursuant to OMB Circular A-133

All Federal grant operations of the University of Illinois (University) are included in the scope of the audit pursuant to Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations* (Single Audit). The Single Audit was performed in accordance with the provisions of the *OMB Circular A-133 Compliance Supplement*. Testing of all applicable compliance requirements, as described in the Compliance Supplement, was performed in accordance with the guidance provided by the Compliance Supplement. For programs not included in the Compliance Supplement, compliance testing was performed in accordance with the compliance requirements determined by researching the statutes, regulations and grant agreements governing the individual programs or by researching the *Catalog of Federal Domestic Assistance*. Additionally, some agencies have developed audit guidance for programs not included in the Compliance Supplement. The University's major programs for which compliance testing was performed are as follows:

- Research and Development Cluster
- Medical Assistance Program — Home Nursing
- Maternal and Child Health Services
- Education and Human Resources
- Gaining Early Awareness and Readiness for Undergraduate Programs

These major programs cover approximately 59 percent of total Federal awards for the year ended June 30, 2002.

The Office of the Inspector General of the U. S. Department of Defense has been designated as the University's cognizant agency for the Single Audit.

Note 2: Fiscal Period Audited

Single Audit testing procedures were performed for program transactions occurring during the fiscal year ended June 30, 2002, in accordance with OMB Circular A-133.

State of Illinois
University of Illinois
Compliance Audit
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002

Note 3: Basis of Presentation

The accompanying schedule of expenditures of federal awards includes the federal awards activity of the University of Illinois for the year ended June 30, 2002, and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

The schedule designates Federal awards as direct, pass-through, or indirect. Direct awards represent Federal funding awarded directly to the University by a Federal funding agency. Pass-through awards are Federal awards passed through a State of Illinois department or agency to the University. Indirect awards represent Federal awards passed to the University through non-governmental entities or governmental entities other than the State of Illinois.

Note 4: Findings

There was a reportable condition in internal control over compliance that was identified in connection with the 2002 Single Audit as disclosed in the schedule of findings and questioned costs. The status of the findings of noncompliance identified in connection with the 2001 and previous audits is presented in the Matrix of Prior Findings section of this Compliance Report.

Note 5: Major Programs

In accordance with OMB Circular A-133, major programs of the University are an individual award or a number of awards in a category of Federal awards determined to be major using a risk-based approach. The risk-based approach includes consideration of such criteria as current and prior audit experience, oversight by Federal agencies and pass-through entities and the inherent risk of the Federal program. Under the risk-based approach, programs are classified as either "Type A" or "Type B". A Type A program is determined pursuant to formulas based on total Federal awards expended. For the University, all programs with Federal awards expended exceeding \$3 million are identified as Type A programs. Federal programs not identified as Type A programs are Type B programs.

**State of Illinois
University of Illinois**

**Compliance Audit
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2002**

Note 6: Student Loan Programs

As disclosed in the non-cash federal awards section of the schedule of expenditures of federal awards, the Federal Direct Student Loan Program administered by the University awarded approximately \$88.6 million at the Urbana campus and \$78.5 million at the Chicago campus. The value of Federal loans issued at the Springfield campus under the Federal Family Education Loans Program totaled approximately \$5.7 million.

The value of the Federal capital contributions received under the Federal Perkins Loan Program (Perkins) was approximately \$687,000 for the year ended June 30, 2002. The values of new loans issued to students for the year ended June 30, 2002, for Perkins and the Health Professions Student Loans Program (HPSL) were approximately \$6.3 million and \$1.7 million, respectively. The total loan balances outstanding at June 30, 2002, for Perkins and HPSL were approximately \$35.7 million and \$13.2 million, respectively.

Note 7: Subrecipients

Of the federal expenditures presented in the schedule, the University provided federal awards to subrecipients as follows:

Federal Grantor/Program Title	Federal CFDA Number	Amount Provided To Subrecipients
U. S. DEPARTMENT OF AGRICULTURE		
OTHER FEDERAL ASSISTANCE		\$ 29,650
GRANTS FOR AGRICULTURAL RESEARCH, SPECIAL RESEARCH	10.200	784,983
GRANTS FOR AGRICULTURAL RESEARCH – COMPETITIVE RESEARCH	10.206	173,802
INITIATIVE FOR FUTURE AGRICULTURAL AND FOOD SYSTEMS	10.302	72,994
INTERNATIONAL AGRICULTURAL RESEARCH – COLLABORATIVE	10.961	12,837
U. S. DEPARTMENT OF ENERGY		
BASIC ENERGY SCIENCES, HIGH ENERGY/NUCLEAR PHYSICS, FUSION	81.049	1,006
OAK RIDGE NATIONAL LABORATORY	81.064	212,286
10CCA 02-32702 AND 10CCA 02-57401	81.119	24,776
U. S. DEPARTMENT OF ENERGY – LAWRENCE L NATIONAL LABORATORY		
DOE LLNL CS		106,519

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Federal Grantor/Program Title	Federal CFDA Number	Amount Provided To Subrecipients
U. S. DEPARTMENT OF TRANSPORTATION		
OTHER FEDERAL ASSISTANCE		\$ 47,142
STATE AND COMMUNITY HIGHWAY SAFETY	20.600	23,920
IDOT OP2-1051-120	20.604	88,978
OTHER FEDERAL ASSISTANCE	20.516	10,497
U. S. DEPARTMENT OF TRANSPORTATION – FEDERAL HIGHWAY PLANNING AND CONSTRUCTION	20.205	2,155
U. S. DEPARTMENT OF DEFENSE – AIR FORCE		
F33615-99-C-1519, F30602-01-C-0078, AF TRW 9276802105		781,551
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12.630	163,909
BASIC AND APPLIED SCIENTIFIC RESEARCH	12.800	251,353
RESEARCH AND TECHNOLOGY DEVELOPMENT	12.910	1,292,959
U. S. DEPARTMENT OF DEFENSE – ARMY		
DA88-98-C-0002		10,444
DAAD19-00-C-0099		45,900
DACA 88-99-D-0002-07		6,842
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12.420	48,590
BASIC AND APPLIED SCIENTIFIC RESEARCH	12.431	1,600,875
U. S. DEPARTMENT OF DEFENSE – NAVY		
N66001-97-C-8535		41,344
N66001-98-C-8624		13,293
N66001-00-C-8051		71,726
BASIC AND APPLIED SCIENTIFIC RESEARCH	12.300	2,060,919
U. S. DEPARTMENT OF EDUCATION		
NATIONAL RESOURCE CENTERS AND FELLOWSHIPS	84.015	238,573
HANDICAPPED – INNOVATION AND DEVELOPMENT	84.023	33,783
HANDICAPPED – EARLY CHILDHOOD EDUCATION	84.024	93,903
FUND FOR THE IMPROVEMENT OF POSTSECONDARY EDUCATION	84.116	31,876
NATIONAL INSTITUTE ON DISABILITY AND REHABILITATION RESEARCH	84.133	482,425
SECONDARY EDUCATION AND TRANSITIONAL SERVICES	84.158	106,296
DED 5184U010004	84.184	35,048
SECRETARY'S FUND FOR INNOVATION IN EDUCATION	84.215	504,011
SPECIAL PROJECTS AND DEMONSTRATIONS	84.235	24,138
IBHE – SCIL 2001	84.281	42,897
DED R305T000219	84.305	167,612
SPECIAL EDUCATION RESEARCH AND INNOVATION TO IMPROVE	84.324	44,622

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Federal Grantor/Program Title	Federal CFDA Number	Amount Provided To Subrecipients
GAINING EARLY AWARENESS AND READINESS TEACHER QUALITY ENHANCEMENT GRANTS	84.334	\$ 363,380
IDCCA 02-62111	84.336	110,990
PREPARING TOMORROW'S TEACHERS TO USE TECHNOLOGY	84.341	19,985
	84.342	431,725
U. S. DEPARTMENT OF ENVIRONMENTAL PROTECTION AGENCY		
ENVIRONMENTAL PROTECTION – CONSOLIDATED RESEARCH GRANTS	66.500	42,793
SPECIAL PURPOSE EPA 82854101	66.606	143,657
	66.607	42,369
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES		
HUMAN IMMUNODIFICIENCY VIRUS/SERVICES PLANNING PROGRAM	93.168	180,590
HIV EMERGENCY RELIEF PROJECT GRANTS	93.914	124,637
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES – CENTER FOR DISEASE CONTROL		
DISABILITIES PREVENTION	93.184	203,935
CENTERS FOR DISEASE CONTROL – INVESTIGATIONS	93.283	148,288
ADMINISTRATION ON DEVELOPMENTAL DISABILITIES BASIC	93.630	4,398
HIV PREVENTION ACTIVITIES – HEALTH DEPARTMENT BASED	93.940	28,845
HIV DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL	93.941	960,135
ASSISTANCE PROGRAM FOR CHRONIC DISEASE PREVENTION	93.945	130,976
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES – HEALTH RESOURCES		
AIDS EDUCATION AND TRAINING CENTERS	93.145	883,194
NATIONAL HEALTH SERVICE CORPS	93.258	17,962
HHS MB10004-03	93.222	25,758
HHS CS03041-03	93.224	7,500
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES – PUBLIC HEALTH SERVICE		
OTHER FEDERAL ASSISTANCE		658,610
MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	93.110	55,373
APPLIED TOXICOLOGICAL RESEARCH AND TESTING	93.114	97,855
BIOMETRY AND RISK ESTIMATION – HEALTH RISKS FROM ENVIRONMENTAL EXPOSURE	93.115	119,358

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Federal Grantor/Program Title	Federal CFDA Number	Amount Provided To Subrecipients
DISEASES OF THE TEETH AND SUPPORTING TISSUES	93.121	\$ 18,360
MENTAL HEALTH PLANNING AND DEMONSTRATION PROJECTS	93.125	30,302
AIDS EDUCATION AND TRAINING CENTERS HEALTH CENTER GRANTS FOR HOMELESS POPULATIONS	93.151	150,894
HUMAN GENOME RESEARCH	93.172	5,000
COMMUNITY PARTNERSHIP DEMONSTRATION GRANT	93.194	23,856
GREAT LAKES HUMAN HEALTH EFFECTS RESEARCH	93.208	18,051
RESEARCH AND TRAINING IN COMPLEMENTARY AND ALTERNATIVE MEDICINE	93.213	45,040
RESEARCH ON HEALTHCARE COSTS, QUALITY, AND OUTCOMES	93.226	31,542
KD&A PROGRAM	93.230	89,865
PUBLIC HEALTH TRAINING CENTERS GRANT PROGRAM	93.249	109,812
MENTAL HEALTH RESEARCH GRANTS	93.242	75,000
OCCUPATIONAL SAFETY AND HEALTH RESEARCH GRANTS	93.262	589,406
OCCUPATIONAL SAFETY AND HEALTH – TRAINING GRANTS	93.263	20,822
ALCOHOL RESEARCH PROGRAMS	93.273	117,170
DRUG ABUSE RESEARCH PROGRAMS	93.279	176,209
NURSING RESEARCH	93.361	595,418
BIOMEDICAL RESEARCH TECHNOLOGY	93.371	380,536
CANCER CAUSE AND PREVENTION RESEARCH	93.393	73,797
CANCER TREATMENT RESEARCH	93.395	9,424
CANCER CONTROL	93.399	382,152
COMMUNITY SCHOOLS YOUTH SERVICES AND SUPERVISION PROGRAM	93.588	138,039
ADMINISTRATION FOR CHILDREN, YOUTH AND FAMILIES – HEAD START	93.600	68,072
CHILD ABUSE AND NEGLECT DISCRETIONARY ACTIVITIES	93.670	815,111
FOSTER CARE TITLE IV E	93.658	19,092
BIOPHYSICS AND PHYSIOLOGICAL SCIENCES	93.821	52,807
HEALTH CAREERS OPPORTUNITY PROGRAMS	93.822	72,888
HEART AND VASCULAR DISEASES RESEARCH	93.837	96,419
LUNG DISEASES RESEARCH	93.838	405,566
BLOOD DISEASES AND RESOURCES RESEARCH	93.839	303,233
ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASE RESEARCH	93.846	24,780
DIABETES, ENDOCRINOLOGY AND METABOLISM RESEARCH	93.847	100,465
BIOLOGICAL BASIS RESEARCH IN THE NEUROSCIENCES	93.854	86,571
		421,792

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MICROBIOLOGY AND INFECTIOUS DISEASES RESEARCH	93.856	\$ 408,781
PHARMACOLOGICAL SCIENCES	93.859	358,266
POPULATION RESEARCH	93.864	93,727
CENTER FOR RESEARCH FOR MOTHERS AND CHILDREN	93.865	399,710
AGING RESEARCH	93.866	54,176
RETINAL AND CHOROIDAL DISEASES RESEARCH	93.867	202,176
MEDICAL LIBRARY ASSISTANCE	93.879	145,787
GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES	93.918	45,331
HEALTH CENTERS GRANTS FOR RESIDENTS OF PUBLIC HOUSING	93.927	121,710
CENTER FOR MEDICAL REHABILITATION RESEARCH	93.929	18,334
FOGARTY INTERNATIONAL RESEARCH COLLABORATION AWARD	93.934	25,600
RESEARCH, TREATMENT AND EDUCATION PROGRAMS ON LYME DISEASE IN U.S.	93.942	106,485
SAMSHA SUBSTANCE ABUSE BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE	93.949	99,760
	93.959	74,435
U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES – SUBSTANCE ABUSE HEART, LUNG AND BLOOD DISORDERS SHARED RESEARCH	93.131	107,538
U. S. DEPARTMENT OF INTERIOR – GEOLOGICAL SURVEY ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTIONS	15.805	94,806
U. S. DEPARTMENT OF JUSTICE LOCAL LAW ENFORCEMENT BLOCK GRANT PROGRAM	16.592	159,122
PUBLIC SAFETY AND COMMUNITY POLICING GRANTS	16.710	126,989
KANKAKEE POLICE DEPARTMENT	16.711	13,453
U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT JOINT COMMUNITY DEVELOPMENT PROGRAM	14.510	206,862
U. S. DEPARTMENT OF NATIONAL AERONAUTICS AND SPACE ADMINISTRATION – @ GODDARD NASA NAG 5-8569		52,054
NASA NGT 5-40073		275,866
NASA NAG 5-8740		8,000

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U. S. DEPARTMENT OF NATIONAL AERONAUTICS AND SPACE ADMINISTRATION - @ LEWIS		\$ 79,364
U. S. NATIONAL SCIENCE FOUNDATION		
ENGINEERING GRANTS	47.041	1,331,025
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	334,614
GEOSCIENCES	47.050	29,646
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING (CISE)	47.070	11,043,205
BIOLOGICAL SCIENCES	47.074	1,175,391
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075	39,000
EDUCATION AND HUMAN RESOURCES	47.076	382,987
U. S. DEPARTMENT OF NATIONAL ENDOWMENT FOR THE HUMANITIES		
PROMOTION OF THE ARTS MUSEUM	45.012	15,391
PROMOTION OF THE HUMANITIES - RESEARCH	45.161	(6,000)
PROMOTION OF THE HUMANITIES SEMINARS AND INSTITUTES	45.163	21,713
U. S. MISCELLANEOUS		
SOCIAL SECURITY - RESEARCH DEMONSTRATION GRANTS	96.007	720,746
BUREAU OF JUSTICE		69,460
OTHER FEDERAL ASSISTANCE		<u>176,769</u>
TOTAL AMOUNT PROVIDED TO SUBRECIPIENTS		\$ <u><u>38,748,517</u></u>